

Domestic military citizens	*	_____
Overseas military citizens	*	_____
Overseas civilians	*	_____
Total		_____

**Includes ballots transmitted by mail, fax, e-mail, or courier.*

32. Total number of **advanced ballots** transmitted to military and overseas citizens:

Domestic military citizens	_____
Overseas military citizens	_____
Overseas civilians	_____
Total	_____

33. Total number of **Federal Write-In Absentee Ballots (FWAB)** received for each of the following voters for the November 7, 2006, election:

Domestic military citizens	_____
Overseas military citizens	_____
Overseas civilians	_____
Total	_____

34. Identify the five most common reasons that **domestic civilian** absentee ballots were rejected:

- 1.
- 2.
- 3.
- 4.
- 5.

009361

35. Provide the number of **military and overseas** absentee ballots rejected for each of the following reasons:

Lacked a postmark

No voter signature

Voter signature not verifiable

Had no date of voter signature

Had no notary/witness signature

Had no date of notary/witness signature

Was received after the state deadline

Returned as undeliverable

Other reason (please, specify "other" reason)

Undervotes and Overvotes

An undervote occurs at any time when a voter makes less than that allowed number of selections in a single race/contest or when a voter votes on less than all of the races/contests for which he/she is eligible to vote.

An overvote occurs when a voter makes more than the permitted number of selections in a single race/contest or when a voter makes a selection in a race/contest on which he/she was not eligible to vote.

36. Total number of **undervotes** reported in each federal contest by county/local election jurisdiction:

Total:

37. Total number of **overvotes** reported in each federal contest by county/local election jurisdiction:

Total:

Poll Workers

Note: The answer to these questions should include the number of persons who served in all polling places in the State as poll workers, election judges, wardens, commissioners, or other similar term that refers to the person or persons who verify the identity of a voter; assist the voter with signing the register, affidavits or other documents required to cast a ballot; assist the voter by providing the voter with a ballot or setting up the voting machine for the voter; and serving other functions as dictated by state law. The answers to these questions should not include observers stationed at the polling place.

38. Has there been a change in the number of poll workers per precinct/polling place required by law or regulation since November 2004?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

► If “yes,” please, provide the new number of poll workers required by law or regulation to be present at each precinct/polling place.

39. Total number of poll workers who served in the November 7, 2006, Federal general elections statewide and by county/local jurisdiction.

Total: _____

40. Total number of polling places and precincts that did not have the required number of poll workers statewide and by county/local jurisdiction.

Total: _____

Voting Jurisdictions and Polling Places

Precinct means the geographic area to which voters are assigned.

Polling place means the physical structure where residents of a precinct go to cast their votes on Election Day. A polling place includes any structure that houses one or more precincts.

41. Identify what constitutes a local election jurisdiction in your State (e.g. county, parish, township, and city).

42. Total number of precincts statewide and by county/local election jurisdiction.

Total: _____

43. Total number of polling places statewide and by county/local election jurisdiction.

Total: _____

44. Total number of polling places statewide and by county/local election jurisdiction that are accessible to voters with disabilities.

Total: _____

Note: For purposes of this question only, accessibility refers to the physical structure of the polling place, not the voting system.

- a) Voters who use wheelchairs, scooters, or other mobility devices:
- b) Voters who are blind or have low vision:

45. Total number of polling places by county/local election jurisdiction where a visually impaired voter can cast a private ballot:

Total: _____

Note: Identify the total number of polling places where voting equipment is used such that a visually disabled voter can cast a private ballot (e.g., a DRE with audio ballot capability or paper ballots printed in Braille).

Sources of Information

46. Total number of local election jurisdictions that provided information for purposes of responding to this survey:

Total: _____

47. Provide the name and contact information for each local election jurisdiction official that provided information for purposes of responding to this survey:

48. Identify any other sources of information used to respond to this survey other than those provided in response to the two previous questions. *(All other sources of data shall include information obtained from a state-wide voter registration database or any other public or non-public source.)*

**THANK YOU FOR RESPONDING TO THE SURVEY. PLEASE, FOLLOW THE
ENCLOSED INSTRUCTIONS FOR SUBMITTING YOUR COMPLETED SURVEY.**

DRAFT

009365



Laiza N. Otero/EAC/GOV

05/08/2006 10:39 AM

To Juliet E. Thompson-Hodgkins/EAC/GOV@EAC

cc

bcc

Subject revised survey

Julie,

Is this better? I closed every question, except numbers 51 and 52 (under "sources of information). I have not come up with a good definition for "list maintenance," and the previous surveys did not provide one. Any suggestions? I have also asked Brian for a definition.

Jeannie and I are speaking with Humanitas at 1:00pm - - - if you're available, please, stop by. THANK YOU!!



Revised Survey.doc

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Fax (202) 566-3128

009366

IMPORTANT: Please, **DO NOT USE** "N/A" as an equivalent to "0." Only use "N/A" (not applicable) if the answer is not available or not allowable by state law or not collected by your jurisdiction. The number "0" ("zero") should only be used to indicate that the answers is "zero" or "none."

VOTER REGISTRATION

Note: Questions 1-26 refer to the period from the close of registration for the **November 2, 2004**, Federal general elections to the close of registration for the **November 7, 2006**, Federal general elections.

Active voters refers to all registered voters except those who have been sent but have not responded to a confirmation mailing sent in accordance with NVRA (42 U.S.C. 1973gg-6(d)) and have not since offered to vote.

Inactive voters refers to registrants who have been sent but have not responded to a confirmation mailing sent in accordance with NVRA (42 U.S.C. 1973gg-6(d)) and have not since offered to vote.

Duplicate registration application refers to an application to register by a person already registered to vote at the same address, under the same name, and (where applicable) the same political party.

1. Total number of registered voters at the time of the close of registration for the past two Federal general elections (including Election Day registrations where applicable – see Question 2):

	November 2, 2004	November 7, 2006
Active voters:	_____	_____
Inactive voters (if applicable):	_____	_____
Total:	_____	_____

2. Total number of persons who registered to vote on Election Day [November 7, 2006] – ****Only applicable to states with Election Day registration (Idaho, Maine, Minnesota, New Hampshire, Wisconsin, and Wyoming):**

November 2, 2004	November 7, 2006
_____	_____

009367

3. Total number of voter registration applications received **from all sources** during the period from the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____

4. Total number of voter registration applications received **by mail** during the period from the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____

5. Total number of voter registration applications received **in person at the clerk or registrar's office** during the period from the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____

6. Total number of registration applications that were **received from or generated by** each of the following categories between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

All motor vehicle offices: _____

All public assistance agencies that are mandated as registration sites under NVRA: _____

All state-funded agencies primarily serving persons with disabilities: _____

All Armed Forces recruitment offices: _____

All other agencies designated by the state: _____

7. Total number of registration applications identified in response to **Question 3** that were:

Duplicates of other valid voter registrations: _____

Changes of address, name, or party: _____

Invalid or rejected (other than duplicates): _____

8. Total number of **new, valid registrations** processed between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections. *****This includes all registrations that are new to the local jurisdiction and re-registrations across jurisdictional lines. This does not include applications that are duplicates, rejected, or report only a change of name, address, or (where applicable) party preference within the local jurisdiction.***

Total: _____

Registration process

9. Total number of election jurisdictions conducting voter registration.

Total: _____

10. Identify the local entity primarily responsible for registering voters:

<input type="checkbox"/>	Circuit Clerk
<input type="checkbox"/>	City Clerk
<input type="checkbox"/>	County Auditor
<input type="checkbox"/>	County Board of Elections
<input type="checkbox"/>	County Clerk
<input type="checkbox"/>	County Commissioner
<input type="checkbox"/>	County Election Board Secretary
<input type="checkbox"/>	County Voter Registration Board
<input type="checkbox"/>	Director of Voter Registration

<input type="checkbox"/>	Election Commissioner
<input type="checkbox"/>	Local General Registrar
<input type="checkbox"/>	Municipal Clerk
<input type="checkbox"/>	Recorder
<input type="checkbox"/>	Registrar
<input type="checkbox"/>	Supervisor/Director of Elections
<input type="checkbox"/>	Tax Assessor Collector
<input type="checkbox"/>	Town Clerk
<input type="checkbox"/>	Other (please, specify)

CONTINUE TO NEXT PAGE 0093693

11. Identify each and every other state and local government office or agency designated as a voter registration agency (provides voter registration opportunities/services):

<input type="checkbox"/>	Motor vehicle offices
<input type="checkbox"/>	All offices that provide public assistance that are mandated as registration sites by NVRA
<input type="checkbox"/>	All offices that provide state-funded programs primarily serving persons with disabilities
<input type="checkbox"/>	All armed forces recruitment offices
<input type="checkbox"/>	Other agencies designated by the State (<i>please, specify</i>)

12. Does your office provide training on the voter registration process to employees of Federal, State, and local government offices or agencies designated as voter registration agencies?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

13. How are voter registration applications transferred from the other voter registration agencies listed in response to **Question 11 to the official responsible for voter registration?**

<input type="checkbox"/>	Courier	<input type="checkbox"/>	Power Profile System
<input type="checkbox"/>	Disk	<input type="checkbox"/>	Tape
<input type="checkbox"/>	E-mail/Electronic	<input type="checkbox"/>	U.S. Mail
<input type="checkbox"/>	Fax	<input type="checkbox"/>	VPN
<input type="checkbox"/>	Hand delivered	<input type="checkbox"/>	Other (<i>please, specify</i>)
<input type="checkbox"/>	Inter-office mail		

14. Who verifies and processes voter registration forms?

<input type="checkbox"/>	State officials
<input type="checkbox"/>	Local officials
<input type="checkbox"/>	Both

15. Which number is used as the voter identification number on the processed voter registration form? *(This does not refer to the number used to verify the application. This refers to the number given to the voter once they have been verified and entered into the voter database.)*

- | | |
|--------------------------|--|
| <input type="checkbox"/> | Last 4-digits of the Social Security number |
| <input type="checkbox"/> | Full Social Security number |
| <input type="checkbox"/> | Driver's license number |
| <input type="checkbox"/> | Unique identifier <i>(please, identify what method is used for assigning the unique identifier)</i> |
| <input type="checkbox"/> | Other <i>(please, specify)</i> |

16. How do voter registration officials **verify voter registration applications**? *(This refers to the process of verifying the applications used to register to vote. This does not refer to the process of verifying voters when they go to vote.)*

- | | |
|--------------------------|---|
| <input type="checkbox"/> | Check jury lists |
| <input type="checkbox"/> | Link and verify through other state agency <i>(please, specify agency)</i> |
| <input type="checkbox"/> | Link and verify through the department of motor vehicles |
| <input type="checkbox"/> | Link and verify through the social security administration records |
| <input type="checkbox"/> | Link and verify through the State's vital statistics records |
| <input type="checkbox"/> | Matched against the voter registration database |
| <input type="checkbox"/> | Tracking of returned voter identification cards |
| <input type="checkbox"/> | Tracking the return of disposition notices |
| <input type="checkbox"/> | Other <i>(please, specify)</i> |

17. How do voter registration officials check for duplicate registrations?

- | | |
|--------------------------|---------------------------------------|
| <input type="checkbox"/> | Address |
| <input type="checkbox"/> | Date of birth |
| <input type="checkbox"/> | Driver's license number |
| <input type="checkbox"/> | Names provided by registrant |
| <input type="checkbox"/> | Social security number |
| <input type="checkbox"/> | Other <i>(please, specify)</i> |

18. Does your State check for duplicate voter registrations across state lines?

- | | |
|--------------------------|--|
| <input type="checkbox"/> | Yes <i>(If "yes", please, identify which states.)</i> |
| <input type="checkbox"/> | No |

009371

19. Are applicants whose applications are rejected notified of the rejection and the reason for the rejection?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

20. Does the statewide voter registration database link to the State's department of motor vehicles?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

20. Does the statewide voter registration database link to disability and social services agencies in a similar manner to the State's department of motor vehicles?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

List Maintenance

21. What process is used to perform list maintenance?

<input type="checkbox"/>	Electronic
<input type="checkbox"/>	Manual
<input type="checkbox"/>	Both

22. Who is responsible for conducting list maintenance?

<input type="checkbox"/>	State officials
<input type="checkbox"/>	Local officials
<input type="checkbox"/>	Both

23. Total number of registrations that were, for whatever reason, **deleted from the registration list**, including both active and inactive voters if such a distinction is made in your state, between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total:

009372

24. Total number of removal notices [Section 8(d)(2) confirmation] mailed out between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____

25. Total number of responses received to the confirmation notices mailed out between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____

26. Total number of voters moved to the inactive list between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____

27. Total number of voters (active AND inactive voters) removed from the voter rolls between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections for the following reasons:

Death: _____

Disqualifying felony convictions: _____

Failure to vote in two consecutive federal general elections: _____

Voter requested to be removed: _____

Other reasons: (please, specify) _____

Total number of registrations removed: _____

009373

28. Identify all of the sources considered in performing list maintenance:

<input type="checkbox"/>	Applications for absentee ballots	<input type="checkbox"/>	Notices of deceased persons (Department of Health/Bureau of Vital Statistics)
<input type="checkbox"/>	Ballots returned as undeliverable	<input type="checkbox"/>	Notices of persons adjudicated mentally incapacitated
<input type="checkbox"/>	Canvasses, house-to-house	<input type="checkbox"/>	Petition checks
<input type="checkbox"/>	Canvasses, political parties	<input type="checkbox"/>	Reports/Notices from other States that a former resident has registered to vote
<input type="checkbox"/>	Car registrations	<input type="checkbox"/>	Reports of address changes – U.S. Postal Service National Change of Address
<input type="checkbox"/>	Contact by phone	<input type="checkbox"/>	Reports of surrendered driver's licenses – other states' motor vehicles offices
<input type="checkbox"/>	Contact in person	<input type="checkbox"/>	Returned election notices
<input type="checkbox"/>	Jury questionnaires	<input type="checkbox"/>	Returned jury summons
<input type="checkbox"/>	List of address changes, Emergency 911 (E-911) system	<input type="checkbox"/>	Returned mail from county agencies using official voter file for mailings
<input type="checkbox"/>	Lists of automobile registrations	<input type="checkbox"/>	Requests from voters for removal
<input type="checkbox"/>	List of deceased persons, Social Security Administration	<input type="checkbox"/>	Targeted mailings
<input type="checkbox"/>	Lists of felony convictions, Federal and state courts	<input type="checkbox"/>	Utility changes, municipal
<input type="checkbox"/>	Lists of persons licensed in other states, Department of Motor Vehicles	<input type="checkbox"/>	Voter registration applications
<input type="checkbox"/>	Lists of property ownership	<input type="checkbox"/>	Voter registration system – duplicate checks
<input type="checkbox"/>	Newspaper death notices/obituaries	<input type="checkbox"/>	Other (<i>please, specify</i>)
<input type="checkbox"/>	Notices of address confirmations		

009374

29. How does your State treat voters who:

- a) Have been convicted of a felony
- b) Are serving a sentence of incarceration for conviction of a felony
- c) Are serving a term of probation following being convicted of a felony

2006 Election Day Results

Ballots cast means a ballot that has been submitted manually or electronically by a voter but has not been verified and/or counted.

Note: For jurisdictions that provide voters with more than one ballot card to vote for different contests or measures should only report one ballot cast per voter.

Ballots counted means all ballots that have been cast, processed, and counted.

"At the polls" refers to ballots issued, cast, or counted on a jurisdiction's voting system on Election Day at a polling place.

Domestic civilian absentee ballot refers to a ballot available to a non-military citizen living in the United States who is registered to vote and meets the State's requirement for voting absentee.

Domestic military citizen refers to:

- (A) a member of a uniformed service on active duty who, by reason of such active duty, is stationed or positioned within the United States or its territories, and who is absent from the place of residence where the member is otherwise qualified to vote;
- (B) a member of the merchant marine who, by reason of service in the merchant marine, is serving within the United States and its territories, and who is absent from the place of residence where the member is otherwise qualified to vote; and
- (C) a spouse or dependent of a member referred to in subparagraph (A) or (B) who, by reason of the active duty or service of the member, is absent from the place of residence where the spouse or dependent is otherwise qualified to vote.

Early voting refers to any voting that occurred prior to November 7, 2006, for which there were no eligibility requirements. For example, the voter did not have to attest that he/she would be absent from the voting jurisdiction on the day of the election.

Federal Write-In Absentee Ballot (FWAB) is a ballot available to military and overseas citizens (including APO and FPO addresses) when they have not received their regular absentee ballot from their state.

Overseas military citizen refers to:

009375

- (A) a member of a uniformed service on active duty who, by reason of such active duty, is stationed or positioned outside of the United States and its territories, and who is thus absent from the place of residence where the member is otherwise qualified to vote;
- (B) a member of the merchant marine who, by reason of service in the merchant marine, is serving outside of the United States and its territories, and who is thus absent from the place of residence where the member is otherwise qualified to vote; and
- (C) a spouse or dependent of a member referred to in subparagraph (A) or (B) who, by reason of the active duty or service of the member, is absent from the place of residence where the spouse or dependent is otherwise qualified to vote.

Overseas civilians refers to persons who are citizens of the United States who are living, working or stationed outside of the United States and its territories and who are not members of a uniformed service.

Provisional ballot refers to a ballot issued when a voter's eligibility has not been determined.

30. Does your State conduct early voting?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

31. Total number statewide and by county/local jurisdiction of:

	Ballots Cast	Ballots Counted
At the polls	_____	_____
Early voting	_____	_____
Domestic civilian absentee ballots	_____	_____
Domestic military citizens	_____	_____
Overseas military citizens	_____	_____
Overseas civilians	_____	_____
Federal Write-In Absentee Ballot (FWAB)	_____	_____
Provisional ballots	_____*	_____
Total	_____	_____

* The number provided in response to this question should include the total number of ballots cast in the State's program for contingent or provisional ballots that complies with section 302(a) of the Help America Vote Act.

009376

32. Total number of votes counted by county/local election jurisdiction for **each candidate** in a Federal contest.

33. Total number of **provisional ballots** rejected:

Total: _____

34. Provide the number of **provisional ballots** rejected for each of the following reasons:

Administrative error _____

No signature _____

Already voted _____

**Non-appearance within
24 hours** _____

**Ballot not timely received
(absentee)** _____

Non-matching signature _____

Deceased _____

Non-verifiable signature _____

Elector challenged _____

Not registered _____

**First time voter registering on
Election Day** _____

Registration purged _____

Improper ID _____

Wrong jurisdiction _____

Incomplete ballot form _____

Wrong precinct _____

Ineligible to vote _____

Other (please, specify) _____

Missing ballot _____

Other (please, specify) _____

Multiple ballots in one envelope _____

Name missing from voter listed _____

009377

Absentee Ballots

Absentee voting is defined as voting prior to Election Day which requires that the voter meet qualifications other than those generally required to register to vote.

Advanced ballot means any special Write-In Absentee Ballot, State Write-In Absentee Ballot, Special Write-In Early Ballot, or Blank Absentee Ballot that is distributed by a state in advance of the publication of an official ballot for a federal election on which military and overseas citizens are allowed to write in the name of the candidate in each contest for whom they choose to vote.

35. Total number of **absentee ballots** state-wide and by county/local jurisdiction (do not include FWAB):

	Requested	Not Counted
Domestic civilian absentee ballots	_____	_____
Domestic military citizens	_____*	_____
Overseas military citizens	_____*	_____
Overseas civilians	_____*	_____
Total	_____	_____

*Includes ballots transmitted by mail, fax, e-mail, or courier.

36. Total number of **advanced ballots** transmitted to military and overseas citizens:

Domestic military citizens	_____
Overseas military citizens	_____
Overseas civilians	_____
Total	_____

37. Total number of **Federal Write-In Absentee Ballots (FWAB)** received for each of the following voters for the November 7, 2006, election:

Domestic military citizens	_____
Overseas military citizens	_____
Overseas civilians	_____009378
Total	_____

38. Identify the five most common reasons that domestic civilian absentee ballots were rejected:

No voter signature

Ballot not timely received

Non-matching signature

Elector voted early at the polls

Ballot returned as undeliverable

Ineligible to vote

No ballot application on record

No witness signature

Spoiled ballot

Ballot missing from envelope

Ballot returned in unofficial envelope

Multiple ballots returned in one envelope

Elector deceased

Ballot replaced

Envelope not sealed

First time voter without proper identification

No election official's signature on ballot

No residence address on envelope

Other (*please, specify*)

009379

39. Provide the number of **military and overseas** absentee ballots rejected for each of the following reasons:

Lacked a postmark

No voter signature

Voter signature not verifiable

Had no date of voter signature

Had no notary/witness signature

Had no date of notary/witness signature

Was received after the state deadline

Returned as undeliverable

Other reason (please, specify "other" reason)

Undervotes and Overvotes

*An **undervote** occurs at any time when a voter makes less than that allowed number of selections in a single race/contest or when a voter votes on less than all of the races/contests for which he/she is eligible to vote.*

*An **overvote** occurs when a voter makes more than the permitted number of selections in a single race/contest or when a voter makes a selection in a race/contest on which he/she was not eligible to vote.*

40. Total number of **undervotes** reported in each federal contest by county/local election jurisdiction:

Total:

41. Total number of **overvotes** reported in each federal contest by county/local election jurisdiction:

Total:

009380

Poll Workers

Note: The answer to these questions should include the number of persons who served in all polling places in the State as poll workers, election judges, wardens, commissioners, or other similar term that refers to the person or persons who verify the identity of a voter; assist the voter with signing the register, affidavits or other documents required to cast a ballot; assist the voter by providing the voter with a ballot or setting up the voting machine for the voter; and serving other functions as dictated by state law. The answers to these questions should not include observers stationed at the polling place.

42a. Has there been a change in the number of poll workers per precinct/polling place required by law or regulation since November 2004?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

42b. If "yes," please, provide the new number of poll workers required by law or regulation to be present at each precinct/polling place.

Total: _____

43. Total number of poll workers who served in the November 7, 2006, Federal general elections statewide and by county/local jurisdiction:

Total: _____

44. Total number of polling places and precincts that did not have the required number of poll workers statewide and by county/local jurisdiction:

Total: _____

Voting Jurisdictions and Polling Places

***Precinct** means the geographic area to which voters are assigned.*

***Polling place** means the physical structure where residents of a precinct go to cast their votes on Election Day. A polling place includes any structure that houses one or more precincts.*

009381

45. Identify what constitutes a local election jurisdiction in your State:

<input type="checkbox"/>	County
<input type="checkbox"/>	Parish
<input type="checkbox"/>	Township
<input type="checkbox"/>	City
<input type="checkbox"/>	Borough
<input type="checkbox"/>	Village
<input type="checkbox"/>	Other (please, specify)

46. Total number of precincts statewide and by county/local election jurisdiction:

Total: _____

47. Total number of polling places statewide and by county/local election jurisdiction:

Total: _____

48. Total number of polling places statewide and by county/local election jurisdiction that are accessible to voters with disabilities:

Total: _____

Note: For purposes of this question only, accessibility refers to the physical structure of the polling place, not the voting system.

49. Total number of polling places by county/local election jurisdiction where a visually impaired voter can cast a private ballot:

Total: _____

Note: Identify the total number of polling places where voting equipment is used such that a visually disabled voter can cast a private ballot (e.g., a DRE with audio ballot capability or paper ballots printed in Braille).

003382

Sources of Information

50. Total number of local election jurisdictions that provided information for purposes of responding to this survey:

Total: _____

51. Provide the name and contact information for each local election jurisdiction official that provided information for purposes of responding to this survey.

52. Identify any other sources of information used to respond to this survey other than those provided in response to the two previous questions. *(All other sources of data shall include information obtained from a state-wide voter registration database or any other public or non-public source.)*

DRAFT

THANK YOU FOR RESPONDING TO THE SURVEY. PLEASE, FOLLOW THE
ENCLOSED INSTRUCTIONS FOR SUBMITTING YOUR COMPLETED SURVEY.

009383



Laiza N. Otero/EAC/GOV

05/08/2006 03:17 PM

To Juliet E. Thompson-Hodgkins/EAC/GOV@EAC

cc

bcc

Subject Latest draft of survey

Hi Julie,

I left a copy of the latest draft of the survey on your chair. I'm also attaching a copy, and this one has a slight numbering correction that is not on the hard copy I left you. Please, review and comment. I also added a Glossary at the end of the survey for those people that may not see the definitions within the survey. I included a definition for List Maintenance that Brian provided me; let me know your thoughts on it. Thank you.



Revised Survey.doc

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Fax (202) 566-3128

009384

IMPORTANT: Please, **DO NOT USE** "N/A" as an equivalent to "0." Only use "N/A" (not applicable) if the answer is not available or not allowable by state law or not collected by your jurisdiction. The number "0" ("zero") should only be used to indicate that the answers is "zero" or "none."

VOTER REGISTRATION

Note: Questions 1-29 refer to the period from the close of registration for the **November 2, 2004**, Federal general elections to the close of registration for the **November 7, 2006**, Federal general elections.

Active voters refers to all registered voters except those who have been sent but have not responded to a confirmation mailing sent in accordance with NVRA (42 U.S.C. 1973gg-6(d)) and have not since offered to vote.

Inactive voters refers to registrants who have been sent but have not responded to a confirmation mailing sent in accordance with NVRA (42 U.S.C. 1973gg-6(d)) and have not since offered to vote.

Duplicate registration application refers to an application to register by a person already registered to vote at the same address, under the same name, and (where applicable) the same political party.

1. Total number of registered voters statewide and by county/local jurisdiction at the time of the close of registration for the past two Federal general elections (including Election Day registrations where applicable – see Question 2):

	November 2, 2004	November 7, 2006
Active voters:	_____	_____
Inactive voters (if applicable):	_____	_____
Total:	_____	_____

2. Total number of persons statewide and by county/local jurisdiction who registered to vote on Election Day [November 7, 2006] – **Only applicable to states with Election Day registration (Idaho, Maine, Minnesota, New Hampshire, Wisconsin, and Wyoming):

November 2, 2004	November 7, 2006
_____	_____

009385

3. Total number statewide and by county/local jurisdiction of voter registration applications received from all sources during the period from the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____

4. Total number statewide and by county/local jurisdiction of voter registration applications received by mail during the period from the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____

5. Total number statewide and by county/local jurisdiction of voter registration applications received in person at the clerk or registrar's office during the period from the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____

6. Total number statewide and by county/local jurisdiction of registration applications that were received from or generated by each of the following categories between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

All motor vehicle offices: _____

All public assistance agencies that are mandated as registration sites under NVRA: _____

All state-funded agencies primarily serving persons with disabilities: _____

All Armed Forces recruitment offices: _____

All other agencies designated by the state: _____

009386

7. Total number statewide and by county/local jurisdiction of registration applications identified in response to **Question 3** that were:

Duplicates of other valid voter registrations: _____

Changes of address, name, or party: _____

Invalid or rejected (other than duplicates): _____

8. Total number statewide and by county/local jurisdiction of **new, valid registrations** processed between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections. ****This includes all registrations that are new to the local jurisdiction and re-registrations across jurisdictional lines. This does not include applications that are duplicates, rejected, or report only a change of name, address, or (where applicable) party preference within the local jurisdiction.**

Total: _____

Registration process

9. Total number of election jurisdictions conducting voter registration.

Total: _____

10. Identify the local entity primarily responsible for registering voters:

<input type="checkbox"/>	Circuit Clerk
<input type="checkbox"/>	City Clerk
<input type="checkbox"/>	County Auditor
<input type="checkbox"/>	County Board of Elections
<input type="checkbox"/>	County Clerk
<input type="checkbox"/>	County Commissioner
<input type="checkbox"/>	County Election Board Secretary
<input type="checkbox"/>	County Voter Registration Board
<input type="checkbox"/>	Director of Voter Registration

<input type="checkbox"/>	Election Commissioner
<input type="checkbox"/>	Local General Registrar
<input type="checkbox"/>	Municipal Clerk
<input type="checkbox"/>	Recorder
<input type="checkbox"/>	Registrar
<input type="checkbox"/>	Supervisor/Director of Elections
<input type="checkbox"/>	Tax Assessor Collector
<input type="checkbox"/>	Town Clerk
<input type="checkbox"/>	Other (please, specify)

009387

CONTINUE TO NEXT PAGE

11. Identify each and every other state and local government office or agency designated as a voter registration agency (provides voter registration opportunities/services):

<input type="checkbox"/>	Motor vehicle offices
<input type="checkbox"/>	All offices that provide public assistance that are mandated as registration sites by NVRA
<input type="checkbox"/>	All offices that provide state-funded programs primarily serving persons with disabilities
<input type="checkbox"/>	All armed forces recruitment offices
<input type="checkbox"/>	Other agencies designated by the State (<i>please, specify</i>)

12. Does your office provide training on the voter registration process to employees of Federal, State, and local government offices or agencies designated as voter registration agencies?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

13. How are voter registration applications transferred from the other voter registration agencies listed in response to **Question 11 to the official responsible for voter registration?**

<input type="checkbox"/>	Courier	<input type="checkbox"/>	Power Profile System
<input type="checkbox"/>	Disk	<input type="checkbox"/>	Tape
<input type="checkbox"/>	E-mail/Electronic	<input type="checkbox"/>	U.S. Mail
<input type="checkbox"/>	Fax	<input type="checkbox"/>	VPN
<input type="checkbox"/>	Hand delivered	<input type="checkbox"/>	Other (<i>please, specify</i>)
<input type="checkbox"/>	Inter-office mail		

14. Who verifies and processes voter registration forms?

<input type="checkbox"/>	State officials
<input type="checkbox"/>	Local officials
<input type="checkbox"/>	Both

009388

15. Which number is used as the voter identification number on the processed voter registration form? *(This does not refer to the number used to verify the application. This refers to the number given to the voter once they have been verified and entered into the voter database.)*

- | | |
|--------------------------|--|
| <input type="checkbox"/> | Last 4-digits of the Social Security number |
| <input type="checkbox"/> | Full Social Security number |
| <input type="checkbox"/> | Driver's license number |
| <input type="checkbox"/> | Unique identifier <i>(please, identify what method is used for assigning the unique identifier)</i> |
| <input type="checkbox"/> | Other <i>(please, specify)</i> |

16. How do voter registration officials **verify voter registration applications**? *(This refers to the process of verifying the applications used to register to vote. This does not refer to the process of verifying voters when they go to vote.)*

- | | |
|--------------------------|---|
| <input type="checkbox"/> | Check jury lists |
| <input type="checkbox"/> | Link and verify through other state agency <i>(please, specify agency)</i> |
| <input type="checkbox"/> | Link and verify through the department of motor vehicles |
| <input type="checkbox"/> | Link and verify through the social security administration records |
| <input type="checkbox"/> | Link and verify through the State's vital statistics records |
| <input type="checkbox"/> | Matched against the voter registration database |
| <input type="checkbox"/> | Tracking of returned voter identification cards |
| <input type="checkbox"/> | Tracking the return of disposition notices |
| <input type="checkbox"/> | Other <i>(please, specify)</i> |

17. How do voter registration officials check for duplicate registrations?

- | | |
|--------------------------|---------------------------------------|
| <input type="checkbox"/> | Address |
| <input type="checkbox"/> | Date of birth |
| <input type="checkbox"/> | Driver's license number |
| <input type="checkbox"/> | Names provided by registrant |
| <input type="checkbox"/> | Social security number |
| <input type="checkbox"/> | Other <i>(please, specify)</i> |

18. Does your State check for duplicate voter registrations across state lines?

- | | |
|--------------------------|--|
| <input type="checkbox"/> | Yes <i>(If "yes", please, identify which states.)</i> |
| <input type="checkbox"/> | No |

009389

19. Are applicants whose applications are rejected notified of the rejection and the reason for the rejection?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

20. Does the statewide voter registration database link to the State's department of motor vehicles?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

21. Does the statewide voter registration database link to disability and social services agencies in a similar manner to the State's department of motor vehicles?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

List Maintenance

List maintenance refers to the specific process and procedures by which State and/or local election officials update and preserve information contained on the official list of registered voters.

22. What process is used to perform list maintenance?

<input type="checkbox"/>	Electronic
<input type="checkbox"/>	Manual
<input type="checkbox"/>	Both

23. Who is responsible for conducting list maintenance?

<input type="checkbox"/>	State officials
<input type="checkbox"/>	Local officials
<input type="checkbox"/>	Both

24. Total number of registrations statewide and by county/local jurisdiction that were, for whatever reason, **deleted from the registration list**, including both active and inactive voters if such a distinction is made in your state, between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total:

009390

CONTINUE TO NEXT PAGE

25. Total number statewide and by county/local jurisdiction of removal notices [Section 8(d)(2) confirmation] mailed out between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____

26. Total number statewide and by county/local jurisdiction of responses received to the confirmation notices mailed out between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____

27. Total number statewide and by county/local jurisdiction of voters moved to the inactive list between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections:

Total: _____

28. Total number statewide and by county/local jurisdiction of voters (active AND inactive voters) removed from the voter rolls between the close of registration for the November 2, 2004, Federal general elections until the close of registration for the November 7, 2006, Federal general elections for the following reasons:

Death: _____

Disqualifying felony convictions: _____

Failure to vote in two consecutive federal general elections: _____

Voter requested to be removed: _____

Other reasons: (please, specify) _____

Total number of registrations removed: _____

009391

29. Identify all of the sources considered in performing list maintenance:

<input type="checkbox"/>	Applications for absentee ballots	<input type="checkbox"/>	Notices of deceased persons (Department of Health/Bureau of Vital Statistics)
<input type="checkbox"/>	Ballots returned as undeliverable	<input type="checkbox"/>	Notices of persons adjudicated mentally incapacitated
<input type="checkbox"/>	Canvasses, house-to-house	<input type="checkbox"/>	Petition checks
<input type="checkbox"/>	Canvasses, political parties	<input type="checkbox"/>	Reports/Notices from other States that a former resident has registered to vote
<input type="checkbox"/>	Car registrations	<input type="checkbox"/>	Reports of address changes – U.S. Postal Service National Change of Address
<input type="checkbox"/>	Contact by phone	<input type="checkbox"/>	Reports of surrendered driver's licenses – other states' motor vehicles offices
<input type="checkbox"/>	Contact in person	<input type="checkbox"/>	Returned election notices
<input type="checkbox"/>	Jury questionnaires	<input type="checkbox"/>	Returned jury summons
<input type="checkbox"/>	List of address changes, Emergency 911 (E-911) system	<input type="checkbox"/>	Returned mail from county agencies using official voter file for mailings
<input type="checkbox"/>	Lists of automobile registrations	<input type="checkbox"/>	Requests from voters for removal
<input type="checkbox"/>	List of deceased persons, Social Security Administration	<input type="checkbox"/>	Targeted mailings
<input type="checkbox"/>	Lists of felony convictions, Federal and state courts	<input type="checkbox"/>	Utility changes, municipal
<input type="checkbox"/>	Lists of persons licensed in other states, Department of Motor Vehicles	<input type="checkbox"/>	Voter registration applications
<input type="checkbox"/>	Lists of property ownership	<input type="checkbox"/>	Voter registration system – duplicate checks
<input type="checkbox"/>	Newspaper death notices/obituaries	<input type="checkbox"/>	Other (<i>please, specify</i>)
<input type="checkbox"/>	Notices of address confirmations		

009392

30. How does your State treat voters who:

- a) Have been convicted of a felony
- b) Are serving a sentence of incarceration for conviction of a felony
- c) Are serving a term of probation following being convicted of a felony

2006 ELECTION DAY RESULTS

Ballots cast means a ballot that has been submitted manually or electronically by a voter but has not been verified and/or counted.

Note: For jurisdictions that provide voters with more than one ballot card to vote for different contests or measures should only report one ballot cast per voter.

Ballots counted means all ballots that have been cast, processed, and counted.

"At the polls" refers to ballots issued, cast, or counted on a jurisdiction's voting system on Election Day at a polling place.

Domestic civilian absentee ballot refers to a ballot available to a non-military citizen living in the United States who is registered to vote and meets the State's requirement for voting absentee.

Domestic military citizen refers to:

- (A) a member of a uniformed service on active duty who, by reason of such active duty, is stationed or positioned within the United States or its territories, and who is absent from the place of residence where the member is otherwise qualified to vote;
- (B) a member of the merchant marine who, by reason of service in the merchant marine, is serving within the United States and its territories, and who is absent from the place of residence where the member is otherwise qualified to vote; and
- (C) a spouse or dependent of a member referred to in subparagraph (A) or (B) who, by reason of the active duty or service of the member, is absent from the place of residence where the spouse or dependent is otherwise qualified to vote.

Early voting refers to any voting that occurred prior to November 7, 2006, for which there were no eligibility requirements. For example, the voter did not have to attest that he/she would be absent from the voting jurisdiction on the day of the election.

Federal Write-In Absentee Ballot (FWAB) is a ballot available to military and overseas citizens (including APO and FPO addresses) when they have not received their regular absentee ballot from their state.

Overseas military citizen refers to:

009393

- (A) a member of a uniformed service on active duty who, by reason of such active duty, is stationed or positioned outside of the United States and its territories, and who is thus absent from the place of residence where the member is otherwise qualified to vote;
- (B) a member of the merchant marine who, by reason of service in the merchant marine, is serving outside of the United States and its territories, and who is thus absent from the place of residence where the member is otherwise qualified to vote; and
- (C) a spouse or dependent of a member referred to in subparagraph (A) or (B) who, by reason of the active duty or service of the member, is absent from the place of residence where the spouse or dependent is otherwise qualified to vote.

Overseas civilians refers to persons who are citizens of the United States who are living, working or stationed outside of the United States and its territories and who are not members of a uniformed service.

Provisional ballot refers to a ballot issued when a voter's eligibility has not been determined.

31. Does your State conduct early voting?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

32. Total number statewide and by county/local jurisdiction of:

	Ballots Cast	Ballots Counted
At the polls	_____	_____
Early voting	_____	_____
Domestic civilian absentee ballots	_____	_____
Domestic military citizens	_____	_____
Overseas military citizens	_____	_____
Overseas civilians	_____	_____
Federal Write-In Absentee Ballot (FWAB)	_____	_____
Provisional ballots	*	_____
Total	_____	_____

* The number provided in response to this question should include the total number of ballots cast in the State's program for contingent or provisional ballots that complies with section 302(a) of the Help America Vote Act.

009394

33. Total number statewide and by county/local jurisdiction of votes counted for **each candidate** in a Federal contest.

34. Total number statewide and by county/local jurisdiction of **provisional ballots** rejected:

Total: _____

35. Total number statewide and by county/local jurisdiction of **provisional ballots** rejected for each of the following reasons:

Administrative error _____

Already voted _____

**Ballot not timely received
(absentee)** _____

Deceased _____

Elector challenged _____

**First time voter registering on
Election Day** _____

Improper ID _____

Incomplete ballot form _____

Ineligible to vote _____

Missing ballot _____

Multiple ballots in one envelope _____

Name missing from voter listed _____

No signature _____

**Non-appearance within
24 hours** _____

Non-matching signature _____

Non-verifiable signature _____

Not registered _____

Registration purged _____

Wrong jurisdiction _____

Wrong precinct _____

Other (please, specify) _____

009395

Absentee Ballots

Absentee voting is defined as voting prior to Election Day which requires that the voter meet qualifications other than those generally required to register to vote.

Advanced ballot means any special Write-In Absentee Ballot, State Write-In Absentee Ballot, Special Write-In Early Ballot, or Blank Absentee Ballot that is distributed by a state in advance of the publication of an official ballot for a federal election on which military and overseas citizens are allowed to write in the name of the candidate in each contest for whom they choose to vote.

36. Total number state-wide and by county/local jurisdiction of **absentee ballots** (do not include FWAB):

	Requested	Not Counted
Domestic civilian absentee ballots	_____	_____
Domestic military citizens	_____*	_____
Overseas military citizens	_____*	_____
Overseas civilians	_____*	_____
Total	_____	_____

*Includes ballots transmitted by mail, fax, e-mail, or courier.

37. Total number statewide and by county/local jurisdiction of **advanced ballots** transmitted to military and overseas citizens:

Domestic military citizens	_____
Overseas military citizens	_____
Overseas civilians	_____
Total	_____

38. Total number statewide and by county/local jurisdiction of **Federal Write-In Absentee Ballots (FWAB) received** for each of the following voters for the November 7, 2006, election:

Domestic military citizens	_____
Overseas military citizens	_____
Overseas civilians	_____
Total	_____

39. Identify the five most common reasons that **domestic civilian** absentee ballots were rejected:

No voter signature

Ballot not timely received

Non-matching signature

Elector voted early at the polls

Ballot returned as undeliverable

Ineligible to vote

No ballot application on record

No witness signature

Spoiled ballot

Ballot missing from envelope

Ballot returned in unofficial envelope

Multiple ballots returned in one envelope

Elector deceased

Ballot replaced

Envelope not sealed

First time voter without proper identification

No election official's signature on ballot

No residence address on envelope

Other (please, specify)

009397

40. Total number statewide and by county/local jurisdiction of **military and overseas** absentee ballots rejected for each of the following reasons:

Lacked a postmark

No voter signature

Voter signature not verifiable

Had no date of voter signature

Had no notary/witness signature

Had no date of notary/witness signature

Was received after the state deadline

Returned as undeliverable

Other reason (please, specify)

Undervotes and Overvotes

*An **undervote** occurs at any time when a voter makes less than that allowed number of selections in a single race/contest or when a voter votes on less than all of the races/contests for which he/she is eligible to vote.*

*An **overvote** occurs when a voter makes more than the permitted number of selections in a single race/contest or when a voter makes a selection in a race/contest on which he/she was not eligible to vote.*

41. Total number statewide and by county/local jurisdiction of **undervotes** reported in each federal contest:

Total:

42. Total number statewide and by county/local jurisdiction of **overvotes** reported in each federal contest:

Total:

009398

Poll Workers

Note: The answer to these questions should include the number of persons who served in all polling places in the State as poll workers, election judges, wardens, commissioners, or other similar term that refers to the person or persons who verify the identity of a voter; assist the voter with signing the register, affidavits or other documents required to cast a ballot; assist the voter by providing the voter with a ballot or setting up the voting machine for the voter; and serving other functions as dictated by state law. The answers to these questions should not include observers stationed at the polling place.

43a. Has there been a change in the number of poll workers per precinct/polling place required by law or regulation since November 2004?

<input type="checkbox"/>	Yes
<input type="checkbox"/>	No

43b. If "yes," please, provide the new number of poll workers required by law or regulation to be present at each precinct/polling place.

Total: _____

44. Total number statewide and by county/local jurisdiction of poll workers that served in the November 7, 2006, Federal general elections:

Total: _____

45. Total number statewide and by county/local jurisdiction of polling places and precincts that did not have the required number of poll workers:

Total: _____

Voting Jurisdictions and Polling Places

***Precinct** means the geographic area to which voters are assigned.*

***Polling place** means the physical structure where residents of a precinct go to cast their votes on Election Day. A polling place includes any structure that houses one or more precincts.*

009399

46. Identify what constitutes a local election jurisdiction in your State:

<input type="checkbox"/>	County
<input type="checkbox"/>	Parish
<input type="checkbox"/>	Township
<input type="checkbox"/>	City
<input type="checkbox"/>	Borough
<input type="checkbox"/>	Village
<input type="checkbox"/>	Other (please, specify)

47. Total number statewide and by county/local election jurisdiction of **precincts**:

Total: _____

48. Total number statewide and by county/local election jurisdiction of **polling places**:

Total: _____

49. Total number statewide and by county/local election jurisdiction of polling places that are accessible to voters with disabilities:

Total: _____

Note: For purposes of this question only, accessibility refers to the physical structure of the polling place, not the voting system.

50. Total number by county/local election jurisdiction of polling places where a visually impaired voter can cast a private ballot:

Total: _____

Note: Identify the total number of polling places where voting equipment is used such that a visually disabled voter can cast a private ballot (e.g., a DRE with audio ballot capability or paper ballots printed in Braille).

009400

Sources of Information

51. Total number of local election jurisdictions that provided information for purposes of responding to this survey:

Total: _____

52. Provide the name and contact information for each local election jurisdiction official that provided information for purposes of responding to this survey.

53. Identify any other sources of information used to respond to this survey other than those provided in response to the two previous questions. *(All other sources of data shall include information obtained from a state-wide voter registration database or any other public or non-public source.)*

THANK YOU FOR RESPONDING TO THE SURVEY. PLEASE, FOLLOW THE
ENCLOSED INSTRUCTIONS FOR SUBMITTING YOUR COMPLETED SURVEY.

GLOSSARY

Absentee voting is defined as voting prior to Election Day which requires that the voter meet qualifications other than those generally required to register to vote.

Active voters refers to all registered voters except those who have been sent but have not responded to a confirmation mailing sent in accordance with NVRA (42 U.S.C. 1973gg-6(d)) and have not since offered to vote.

Advanced ballot means any special Write-In Absentee Ballot, State Write-In Absentee Ballot, Special Write-In Early Ballot, or Blank Absentee Ballot that is distributed by a state in advance of the publication of an official ballot for a federal election on which military and overseas citizens are allowed to write in the name of the candidate in each contest for whom they choose to vote.

“At the polls” refers to ballots issued, cast, or counted on a jurisdiction’s voting system on Election Day at a polling place.

Ballots cast means a ballot that has been submitted manually or electronically by a voter but has not been verified and/or counted.

Ballots counted means all ballots that have been cast, processed, and counted.

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Domestic military citizen refers to:

- (A) a member of a uniformed service on active duty who, by reason of such active duty, is stationed or positioned within the United States or its territories, and who is absent from the place of residence where the member is otherwise qualified to vote;
- (B) a member of the merchant marine who, by reason of service in the merchant marine, is serving within the United States and its territories, and who is absent from the place of residence where the member is otherwise qualified to vote; and

(C) a spouse or dependent of a member referred to in subparagraph (A) or (B) who, by reason of the active duty or service of the member, is absent from the place of residence where the spouse or dependent is otherwise qualified to vote.

Duplicate registration application refers to an application to register by a person already registered to vote at the same address, under the same name, and (where applicable) the same political party.

Early voting refers to any voting that occurred prior to November 7, 2006, for which there were no eligibility requirements. For example, the voter did not have to attest that he/she would be absent from the voting jurisdiction on the day of the election.

Federal Write-In Absentee Ballot (FWAB) is a ballot available to military and overseas citizens (including APO and FPO addresses) when they have not received their regular absentee ballot from their state.

Inactive voters refers to registrants who have been sent but have not responded to a confirmation mailing sent in accordance with NVRA (42 U.S.C. 1973gg-6(d)) and have not since offered to vote.

List maintenance refers to the specific process and procedures by which State and/or local election officials update and preserve information contained on the official list of registered voters.

New, valid registrations include all registrations that are new to the local jurisdiction and re-registrations across jurisdictional lines. This does not include applications that are duplicates, rejected, or report only a change of name, address, or (where applicable) party preference within the local jurisdiction.

Overseas civilians refers to persons who are citizens of the United States who are living, working or stationed outside of the United States and its territories and who are not members of a uniformed service.

Overseas military citizen refers to:

- (A) a member of a uniformed service on active duty who, by reason of such active duty, is stationed or positioned outside of the United States and its territories, and who is thus absent from the place of residence where the member is otherwise qualified to vote;
- (B) a member of the merchant marine who, by reason of service in the merchant marine, is serving outside of the United States and its territories, and who is thus absent from the place of residence where the member is otherwise qualified to vote; and
- (C) a spouse or dependent of a member referred to in subparagraph (A) or (B) who, by reason of the active duty or service of the member, is absent from the place of residence where the spouse or dependent is otherwise qualified to vote.

Overvote occurs when a voter makes more than the permitted number of selections in a single race/contest or when a voter makes a selection in a race/contest on which he/she was not eligible to vote.

Precinct means the geographic area to which voters are assigned.

Polling place means the physical structure where residents of a precinct go to cast their votes on Election Day. A polling place includes any structure that houses one or more precincts.

Provisional ballot refers to a ballot issued when a voter's eligibility has not been determined.

Undervote occurs at any time when a voter makes less than that allowed number of selections in a single race/contest or when a voter votes on less than all of the races/contests for which he/she is eligible to vote.

 **Laiza N. Otero/EAC/GOV**

05/30/2006 01:36 PM

To Juliet E. Thompson-Hodgkins/EAC/GOV@EAC

cc

bcc

Subject Re: Federal Register 

I have forwarded DeAnna a copy of the e-mail with a request for the information you suggested. In the meantime, who conducts the analysis - you or I, or both? Can we go ahead with it or do we need something else from Tom or the Commissioners?

Juliet E. Thompson-Hodgkins/EAC/GOV

**Juliet E.
Thompson-Hodgkins/EAC/G
OV**

05/30/2006 12:34 PM

To Laiza N. Otero/EAC/GOV@EAC

cc

Subject Re: Federal Register 

We need a burden analysis first. Ask DeAnna for some previous burden analyses that we have done. This involves getting several (up to 9) states that will be responding to estimate the time and money that will be needed to complete the survey. That information must be included in the FR publication. Ask DeAnna to give you some sample FR publications as well and you will see what I am talking about.

Juliet Thompson Hodgkins
General Counsel
United States Election Assistance Commission
1225 New York Ave., NW, Ste 1100
Washington, DC 20005
(202) 566-3100
Laiza N. Otero/EAC/GOV

 **Laiza N. Otero/EAC/GOV**

05/30/2006 11:51 AM

To Juliet E. Thompson-Hodgkins/EAC/GOV@EAC

cc

Subject Federal Register

Julie,

Can we go ahead and publish the required 60-day notice in the Federal Register to obtain public comment on the 2006 Survey? This needs to be done prior to submitting the Information Collection Request to OMB, and June will be here this week. The responses we receive from the Boards, if any, could be part of this period. How can we move ahead? How can I assist? Thank you!

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100

009427

Washington, DC 20005
Tel. (202) 566-1707
Fax (202) 566-3128

009428

 **Laiza N. Otero/EAC/GOV**

10/12/2006 11:02 AM

To Juliet E. Thompson-Hodgkins/EAC/GOV@EAC

cc Karen Lynn-Dyson/EAC/GOV@EAC

bcc

Subject PRA and focus groups

Hello,

Karen told me to check with you about focus groups and PRA in preparation for her conference call with the Contractors regarding their information collection activities. I am putting together some materials for the participants and wanted to make sure I have the correct information. From what I have found out (and from my understanding of her projects as they are written on the SOW):

In accordance with the PRA, OMB approval must be obtained prior to collecting information in any situation where 10 or more individuals are involved and the questions are standardized in nature. A project could have a series of focus groups of 3-5 people each, but if the total number of participants from all sessions amounts to 10 or more then they fall under PRA.

Do focus groups need Paperwork Reduction Act clearance?

Yes -- assuming that the focus groups are working from predetermined scripts that are being asked each group

Compliance with the PRA is required whenever a federal agency sponsors a data collection by using identical questions, using identical reporting or record-keeping requirements, or asking respondents to provide the same level of information on the same subject involving 10 or more respondents in a 12-month period. The law applies to all federal employees, contractors, people in cooperative agreements, and anyone else who asks the public for information for the purpose of research, public health practice, program evaluation, or any other reason. The PRA also addresses customer satisfaction inventories, focus group inquiries, all types of surveys, telephone interviews, and electronic environments.

What Doesn't Need PRA Clearance?

Open-ended questions to the public, e.g. "What do you think about this?" in a focus group do not require OMB approval.


Does the PRA affect questions at public meetings?

Not usually. No clearance is needed if the attendees are just asked to comment or give suggestions on the program or subject in question. If, however, the group is gathered for the purpose of having attendees respond to a specific set of formatted questions, then the PRA does apply

I have placed a call to Alex at OMB asking him to assist us in understanding this point for us.

Laiza N. Otero

009429



Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-3100 (main office)
Tel. (202) 566-2209 (direct)
Fax (202) 566-3128

009430



Laiza N. Otero/EAC/GOV
10/31/2006 10:08 AM

To Alexander_T._Hunt@omb.eop.gov
cc
bcc
Subject ICR Submission

Dear Mr. Hunt,

I am writing to notify you of our ICR submission via ROCIS of our 2006 Election Administration and Voting Survey. The form has been completed to the best of our knowledge and all supporting documents have been included in the package. This information collection is being submitted under the regular review process. However, we would greatly appreciate an estimate of when we could expect approval since the information collection focuses on election related data. If you have any questions or need more information, please, do not hesitate to contact me at your earliest convenience. Thank you very much for your time and invaluable assistance in this matter.

Sincerely,

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-3100 (main office)
Tel. (202) 566-2209 (direct)
Fax (202) 566-3128

009431



"Hunt, Alexander T."
<Alexander_T._Hunt@omb.eop.gov>

11/30/2006 07:12 PM


To: lotero@eac.gov

cc

bcc

Subject: RE: ICR Submission

History:

 This message has been replied to.

I approved all three pending ICRs today. Thanks.

From: lotero@eac.gov [mailto:lotero@eac.gov]

Sent: Monday, November 27, 2006 1:22 PM

To: Hunt, Alexander T.

Subject: RE: ICR Submission

Importance: High

Good afternoon Mr. Hunt,

I am following up to our conversation below regarding the 2006 Election Administration and Voting Survey ICR - the final day for comments to the 30-day FR notice is today.

In addition, is there more information we should provide regarding the other two recent emergency ICRs? Thank you once again for your time and assistance. I hope you had a nice Thanksgiving!

Sincerely,

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-3100 (main office)
Tel. (202) 566-2209 (direct)
Fax (202) 566-3128

-----"Hunt, Alexander T." <Alexander_T._Hunt@omb.eop.gov> wrote: -----

To: lotero@eac.gov
From: "Hunt, Alexander T." <Alexander_T._Hunt@omb.eop.gov>
Date: 10/31/2006 11:06AM
Subject: RE: ICR Submission

You can send a reminder e-mail in 3-4 weeks and I can try to take action around day 30.

From: lotero@eac.gov [mailto:lotero@eac.gov]

Sent: Tuesday, October 31, 2006 9:09 AM

To: Hunt, Alexander T.

Subject: ICR Submission

Dear Mr. Hunt,

009432

I am writing to notify you of our ICR submission via ROCIS of our 2006 Election Administration and Voting Survey. The form has been completed to the best of our knowledge and all supporting documents have been included in the package. This information collection is being submitted under the regular review process. However, we would greatly appreciate an estimate of when we could expect approval since the information collection focuses on election related data. If you have any questions or need more information, please, do not hesitate to contact me at your earliest convenience. Thank you very much for your time and invaluable assistance in this matter.

Sincerely,

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-3100 (main office)
Tel. (202) 566-2209 (direct)
Fax (202) 566-3128

009433



Laiza N. Otero/EAC/GOV

04/13/2007 01:18 PM

To Karen Lynn-Dyson/EAC/GOV@EAC

cc Juliet E. Thompson-Hodgkins/EAC/GOV@EAC

bcc

Subject EAC Election Administration and Voting Survey

http://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=200610-3265-001



30-day Federal Register Notice.pdf



2006 EAC Survey - Copy of Public Comments.pdf



2006 EAC Survey - Summary of and Response to Comments.doc



2006 EAC Survey - Supporting Statement A.doc



2006 EAC Survey - Supporting Statement B.doc



2006 Election Administration and Voting Survey.OMB No 3265-0006.pdf



60-Day Federal Register Notice.pdf

Laiza N. Otero
Election Research Specialist
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-3100 (main office)
Tel. (202) 566-2209 (direct)
Fax (202) 566-3128

009434

NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 12/11/2006

U.S. Election Assistance Commission

FOR CERTIFYING OFFICIAL: Juliet Thompson-Hodgkins

FOR CLEARANCE OFFICER: Laiza Otero

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 12/06/2006

ACTION REQUESTED: No material or nonsubstantive change to a currently approved collection

TYPE OF REVIEW REQUESTED: Emergency

ICR REFERENCE NUMBER: 200612-3265-002

TITLE: U.S. Election Assistance Commission Survey of UOCAVA Voters

LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved without change

OMB CONTROL NUMBER: 3265-0005

The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 05/31/2007

DISCONTINUE DATE:

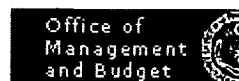
BURDEN:	RESPONSES	HOURS	COSTS
Previous	10,000	2,500	0
New	10,000	2,500	0
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	0	0	0
Change due to Agency Adjustment	0	0	0
Change Due to Potential Violation of the PRA	0	0	0

TERMS OF CLEARANCE: Terms of the previous clearance remain in effect.

OMB Authorizing Official:

John F. Morrall III
Acting Deputy Administrator,
Office Of Information And Regulatory Affairs

009435



RegInfo.gov

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Unified Agenda and Regulatory Plan

EO 12866 Regulatory Review

Information Collection Review

Information Collection Review

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XML Reports

Display additional information by clicking on the following: ☐ All ☒ Brief and OIRA conclusion

☐ Abstract/Justification ☐ Legal Statutes ☐ Rulemaking ☐ FR Notices/Comments ☐ IC List ☐ Burden ☐ Misc. ☐ Certification

[View Information Collection \(IC\) List](#)
[View Supporting Statement and Other Documents](#)

Please note that the OMB number and expiration date may not have been determined when this Information Collection Request and associated Information Collection forms were submitted to OMB. The approved OMB number and expiration date may be found by clicking on the Notice of Action link below.

View ICR - OIRA Conclusion

OMB Control No: 3265-0005

ICR Reference No: 200612-3265-002

Status: Active

Previous ICR Reference No: 200611-3265-001

Agency/Subagency:

Agency Tracking No:

Title: U.S. Election Assistance Commission Survey of UOCAVA Voters

Type of Information Collection: No material or nonsubstantive change to a currently approved collection

Type of Review Request: Emergency

Approval Requested By: 11/23/2006

OIRA Conclusion Action: Approved without change

Conclusion Date: 12/11/2006

[Retrieve Notice of Action \(NOA\)](#)

Date Received in OIRA: 12/06/2006

Terms of Clearance:

	Inventory as of this Action	Requested	Previously Approved
Expiration Date	05/31/2007	05/31/2007	05/31/2007
Responses	10,000	10,000	10,000
Time Burden (Hours)	2,500	2,500	2,500
Cost Burden (Dollars)	0	0	0

[Disclosure](#) | [Accessibility](#) | [Privacy Policy](#) | [Contact Us](#)

009436

List of ICs			
IC Title	Form No.	Form Name	CFR Citation
EAC Survey of UOCAVA Voters	EAC-RS02, EAC-RS02	EAC Survey of UOCAVA Voters, Revised EAC Survey of UOCAVA Voters	

009437




10/17/2006 12:02 AM

To lotero@eac.gov

cc

bcc

Subject omb submission

History:  This message has been replied to.

hello ms otero,

i am emailing two documents of the three you are probably expecting: one is form 83-I. i have filled it out as much as possible: i was trying to contact you today to ask for assistance, but unfortunately did not reach you. rather than delaying this matter longer, i am sending an incomplete document. what i am stuck on is question 14 - the record keeping and cost item. the other problem on the form is a formatting issue i am having with question 12. for some reason, if i fill in the P for voluntary, it overwrites the agency line in item 1 and vice versa. i can not figure out if this is a bug in the form or if there is something wrong with my version of adobe!

the second document is the supporting documentation for omb. that document is ready to go, but please let me know if you would like me to make changes.

finally, the time and cost burden document i have not filled out. can you please help me with this? as i interpret it, there are no costs associated other than faxing the survey back for some respondents. i am not sure how to budget for this so it would leave most of the form blank. is this your understanding of the process?

thank you in advance for your assistance.

if you need to contact me tomorrow by phone, please try my cell at 510.367.7527. otherwise, i should be on email for most of the day.
regards,

karin mac donald



Supporting Documentation for OMB.doc 2006-10-16 - OMB 83-I form.pdf

009438

SUPPORTING STATEMENTS
United States Election Assistance Commission - Survey of UOCAVA Voters

A. JUSTIFICATION

1. Explain the circumstances that make the collection of information necessary.

Section 245 of the Help America Vote Act (HAVA) requires the United States Election Assistance Commission (EAC) to conduct a study of issues and challenges, specifically including the potential for election fraud, that are presented by the incorporation of communications and internet technologies in the Federal, State, and local electoral process. Previously, in 2001, operating under the National Defense Authorization Act on behalf of the Secretary of Defense, the Director of the Federal Voting Assistance Program (FVAP) began work to fulfill a congressional directive to conduct an electronic voting demonstration for the 2002 general election. Building upon the technical foundation of the first national electronic voting pilot (the 2000 Voting Over the Internet project), FVAP designed the Secure Electronic Registration and Voting Experiment (SERVE). SERVE was designed to assess whether electronic voting technology could be used to improve the voting participation of uniformed services members and overseas citizens, whose rights are protected by the Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA). Currently, UOCAVA voters are most likely to utilize communications and internet technologies in the electoral process, thus, they must be studied to fulfill the requirements of Sec. 245 or HAVA.

2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.

The data will be collected, coded, summarized and analyzed by an EAC contractor. Summary data from the survey will be contextualized with qualitative data collected in case studies and presented in a report to the EAC. At the conclusion of the study, the EAC will transmit a report on the results of the study to Congress. The study, or subsections thereof, will also be publicly available upon release by the EAC.

The collection of data complies with the applicable Information Quality Guidelines in the following ways: One, the survey is timed to be conducted shortly after the General Election of 2006, when respondents' recollection of their interaction with the voting process is still at its best. Two, statistical sampling techniques will be employed to select a sample that is representative of the population being studied. Three, the survey instrument, a description of the sample, and the summary data will be made available to the public, thus replication of results within the statistical error margin will be possible. Four, the data collection, analysis and resulting reports will be completed within an eleven month time-frame, which allows for a timely distribution of results to Congress, election officials, data users, researchers and other interested parties. Five, the survey instrument is being developed by a group of highly qualified researchers, and is being beta tested after each change with a different group of volunteers. The instrument will then be sent to interested groups that have experience with the subject topic, for comments. As appropriate, these comments will be incorporated prior to a final pre-test of the

009439

survey. Six, coding documentation will be developed, and coders will be trained to ensure uniform interpretation of data during the coding process of the results. Seven, statistical analysis will include modern methods that are commonly used in social science research, including cross-tabs, frequencies and regression analysis. Eight, ongoing quality control programs are in place to assure the highest possible validity and reliability of the data.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.

The potential respondents to the survey will be contacted in the same way in which they have asked for their ballot to be transmitted to them. This means that those that ask for their ballot to be faxed, will receive a faxed copy of the survey, and those that use email, will receive an email invitation to complete the survey over the internet. Respondents have the option to ask for the survey in a different medium, i.e. a fax respondent has the option to use the internet to complete the survey, and vice versa.

4. Describe efforts to identify duplication.

Each respondent will be assigned a unique code that will prevent duplication. Internet invitations to respond to the survey will also include a unique link that can only be used once. Each respondent will only have one opportunity to fill out a survey, and only originals will be accepted back, not copies of the survey instrument.

5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.

The collection of information does not involve small businesses or other small entities.

6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.

The EAC is asking for a one-time collection of survey responses. If these data are not collected, the mandate of Sec. 245 of HAVA can not be met.

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

OMB guidelines provide for the submission of an emergency ICR if the collection is needed sooner than would be possible using normal procedures and is essential for the agency's mission. The collection of the survey data will be consistent with the OMB guidelines under the emergency ICR. Following the regular procedures would prevent the collection of data.

009440

8. Provide a copy of the PRA Federal Register notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

A PRA Federal Register notice is not available. This agency is asking for emergency review and for a waiver of the Federal Register publication requirement from OMB.

9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

No payments or gifts will be provided to respondents.

10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.

In accordance with generally used Human Subjects protocols, survey respondents will be informed that the information they provide will not be attributed to them personally, and will be compiled with the data from other respondents to construct summary data. Survey forms will not request identifying information from respondents, such as their name or address.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

No questions of a sensitive nature will be asked.

12. Provide an estimate in hours of the burden of the collection of information.

Responding to the survey will take approximately ten minutes if the internet is used. If respondents chose to use the hard-copy survey form, we estimate an additional five minutes for faxing of the survey.

13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in #12 above).

Most respondents who utilize the internet to respond to the survey should not incur any cost. Respondents that have to pay for the use of a computer at an internet café may incur a cost of no

009441

more than \$1 each to respond to the survey. Respondents that chose to fax the responses may incur a cost of up to \$3.

14. Provide estimates of annualized cost to the Federal government.

There is no cost to the Federal government. Responses to the survey are strictly voluntary and respondents will not be reimbursed for their incurred costs to answer the survey.

15. Explain the reasons for any program changes or adjustments reported in Items 13 or 14 of the OMB 83-I.

This is a new collection – there are no program changes or adjustments.

16. For collections whose results will be published, outline the plans for tabulation and publication.

The collected survey responses will only be published in summary data format, as part of a report. The survey data will be contextualized with data from case studies. The report will be published by the EAC on its website, and results will also be presented to Congress in a report.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

N/A

18. Explain each exception to the certification statement identified in Item 19 of the OMB 83-I.

There are no exceptions to the certification statement identified in Item 19 of the OMB 83-I.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

(If your collection does not employ statistical methods, just say that and delete the following five questions from the format.)

1. Describe (including a numerical estimate) the potential respondent universe and any sampling or other respondent selection method to be used. Data on the number of entities (e.g. establishments, State and local governmental units, households, or persons) in the universe and the corresponding sample are to be provided in tabular form. The tabulation must also include expected response rates for the collection as a whole. If the collection has been conducted before, provide the actual response rate achieved.

009442

The sample will target a sample of the 2006 UOCAVA registered voters in the four jurisdictions identified in our case studies. We expect the sample to be at least 10,000 registered voters, and we hope to get response rate of 20%. Previous on-line surveys of UOCAVA voters by the Overseas Vote Foundation had response rates of approximately 17%.

2. Describe the procedures for the collection, including: the statistical methodology for stratification and sample selection; the estimation procedure; the degree of accuracy needed for the purpose described in the justification; any unusual problems requiring specialized sampling procedures; and any use of periodic (less frequent than annual) data collection cycles to reduce burden.

The sample will be chosen from UOCAVA registered voter lists in four states that vary by the size of the UOCAVA pool and the method by which overseas ballots can be obtained or cast. Montana is a small state (4,721 UOCAVA votes cast) that has a wide variety of electronic transmission methods with differences between local jurisdictions. South Carolina has the largest number of UOCAVA voters (168,814) and allows for emailing of blank and voted ballots and faxing of ballots and registration forms. Florida allows some emailing and faxing of blank ballots and is considering the possibility of internet voting. Most likely, the fourth state will be either Vermont (1,733 UOCAVA voters) or Illinois (30,556 UOCAVA voters) which have smaller numbers of UOCAVA voters but allow faxing for registration, ballot requests and blank ballots, and also have variations between local jurisdiction that can be studied. Working from the registration lists, we will invite the respondents to complete the survey in the form they received their ballot (i.e. mail, fax or email). Those that check email will get an email invitation with a link to the on-line survey. Others will get a paper version by fax or mail. Our intention is to contact all the registered UOCAVA voters in a given jurisdiction or where necessary to draw a random sample.

3. Describe the methods used to maximize response rates and to deal with nonresponse. The accuracy and reliability of the information collected must be shown to be adequate for the intended uses. For collections based on sampling, a special justification must be provided if they will not yield "reliable" data that can be generalized to the universe studied.

Follow-up emails will be sent after 5 days to those who have not responded by email, and follow-up faxes and postcards to those who did not respond from those categories. Aside from these multiple contacts, we will monitor for any potential biases in the responses we get by comparing attributes in the registration file with those who respond to the survey. If necessary, we will consider weighting the responses to test whether there is a measurable difference in the results as a result of any skew in the respondents' characteristics.

4. Describe any tests of procedures or methods to be undertaken. Tests are encouraged as effective means to refine collections, but if ten or more test respondents are involved OMB must give prior approval.

The questionnaire will be pre-tested with a small sample of Overseas Vote Foundation

009443

volunteers and associates to refine the wording of questions and ensure that the questions are well understood, as well as members of the research team.

5. Provide the name and telephone number of individuals consulted on the statistical aspects of the design, and the name of the agency unit, contractor(s), grantee(s), or other person(s) who will actually collect and/or analyze the information for the agency.

Contractor contact: Prof. Bruce E. Cain, 1.415.336.0570

009444

PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the Supporting Statement, and any additional documentation to: **Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.**

<p>1. Agency/Subagency originating request</p> <p>United States Election Assistance Commission</p>	<p>2. OMB control number b. <input checked="" type="checkbox"/> None</p> <p>a. _____</p>
<p>3. Type of information collection (check one)</p> <p>a. <input checked="" type="checkbox"/> New collection</p> <p>b. <input type="checkbox"/> Revision of a currently approved collection</p> <p>c. <input type="checkbox"/> Extension, without change, of a currently approved collection</p> <p>d. <input type="checkbox"/> Reinstatement, without change, of a previously approved collection for which approval has expired</p> <p>e. <input type="checkbox"/> Reinstatement, with change, of a previously approved collection for which approval has expired</p> <p>f. <input type="checkbox"/> Existing collection in use without an OMB control number</p>	<p>4. Type of review requested (check one)</p> <p>a. <input type="checkbox"/> Regular</p> <p>b. <input checked="" type="checkbox"/> Emergency - Approval requested by: <u>11</u> / <u>01</u> / <u>2006</u></p> <p>c. <input type="checkbox"/> Delegated</p>
<p>3a. Public Comments</p> <p>Has the agency received public comments on this information collection?</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>5. Small entities</p> <p>Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>6. Requested expiration date</p> <p>a. <input type="checkbox"/> Three years from approval date b. <input checked="" type="checkbox"/> Other Specify: <u>04</u> / <u>2007</u></p>	
<p>7. Title</p> <p>United States Election Assistance Commission Survey of UOCAVA (Uniformed and Overseas Citizen Absentee Voters Act) voters</p>	
<p>8. Agency form number(s) (if applicable)</p>	
<p>9. Keywords</p> <p>Research, Elections</p>	
<p>10. Abstract</p> <p>Section 245 of the Help America Vote Act (HAVA) requires the United States Election Assistance Commission (EAC) to conduct a study of issues and challenges, that are presented by the incorporation of communications and internet technologies in the electoral process. This survey of UOCAVA voters is part of a larger study, the results of which will be presented in form of a report to Congress. UOCAVA voters consist of civilian citizens living overseas, and military personnel and their families.</p>	
<p>11. Affected public (Mark primary with "P" and all others that apply with "X")</p> <p>a. <u>P</u> Individuals or households d. <input type="checkbox"/> Farms</p> <p>b. <input type="checkbox"/> Business or other for-profit e. <input type="checkbox"/> Federal Government</p> <p>c. <input type="checkbox"/> Not-for-profit institutions f. <input type="checkbox"/> State, Local or Tribal Government</p>	<p>12. Obligation to respond (Mark primary with "P" and all others that apply with "X")</p> <p>a. <u>U</u> Voluntary</p> <p>b. <input type="checkbox"/> Required to obtain or retain benefits</p> <p>c. <input type="checkbox"/> Mandatory</p>
<p>13. Annual reporting and recordkeeping hour burden</p> <p>a. Number of respondents <u>approximately 10,000</u></p> <p>b. Total annual responses <u>approximately 10,000</u></p> <p>1. Percentage of these responses collected electronically <u>approximately 90</u> %</p> <p>c. Total annual hours requested <u>0.2</u></p> <p>d. Current OMB inventory <u>0</u></p> <p>e. Difference <u>0.2</u></p> <p>f. Explanation of difference</p> <p>1. Program change <u>new collection</u></p> <p>2. Adjustment _____</p>	<p>14. Annual reporting and recordkeeping cost burden (in thousands of dollars)</p> <p>a. Total annualized capital/startup costs <u>?</u></p> <p>b. Total annual costs (O&M) _____</p> <p>c. Total annualized cost requested _____</p> <p>d. Current OMB inventory <u>0</u></p> <p>e. Difference _____</p> <p>f. Explanation of difference</p> <p>1. Program change <u>new collection</u></p> <p>2. Adjustment _____</p>
<p>15. Purpose of information collection (Mark primary with "P" and all others that apply with "X")</p> <p>a. <input type="checkbox"/> Application for benefits e. <input type="checkbox"/> Program planning or management</p> <p>b. <input type="checkbox"/> Program evaluation f. <u>P</u> Research</p> <p>c. <input type="checkbox"/> General purpose statistics g. <input type="checkbox"/> Regulatory or compliance</p> <p>d. <input type="checkbox"/> Audit</p>	<p>16. Frequency of recordkeeping or reporting (check all that apply)</p> <p>a. <input type="checkbox"/> Recordkeeping b. <input checked="" type="checkbox"/> Third party disclosure</p> <p>c. <input type="checkbox"/> Reporting</p> <p>1. <input type="checkbox"/> On occasion 2. <input type="checkbox"/> Weekly 3. <input type="checkbox"/> Monthly</p> <p>4. <input type="checkbox"/> Quarterly 5. <input type="checkbox"/> Semi-annually 6. <input type="checkbox"/> Annually</p> <p>7. <input type="checkbox"/> Biennially 8. <input checked="" type="checkbox"/> Other (describe) <u>one time only survey</u></p>
<p>17. Statistical methods</p> <p>Does this information collection employ statistical methods?</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>18. Agency contact (person who can best answer questions regarding the content of this submission)</p> <p>Name: <u>Karen Lynn-Dyson</u></p> <p>Phone: _____</p>

19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9.

Note: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions.
The certification is to be made with reference to those regulatory provisions as set forth in the instructions.

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention period for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
 - (i) Why the information is being collected;
 - (ii) Use of information;
 - (iii) Burden estimate;
 - (iv) Nature of response (voluntary, required for a benefit, or mandatory);
 - (v) Nature and extent of confidentiality; and
 - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of the instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee

Date

Instructions For Completing OMB Form 83-I

Please answer all questions and have the Senior Official or designee sign the form. These instructions should be used in conjunction with 5 CFR 1320, which provides information on coverage, definitions, and other matters of procedure and interpretation under the Paperwork Reduction Act of 1995.

1. Agency/Subagency originating request

Provide the name of the agency or subagency originating the request. For most cabinet-level agencies, a subagency designation is also necessary. For non-cabinet agencies, the subagency designation is generally unnecessary.

2. OMB control number

- If the information collection in this request has previously received or now has an OMB control or comment number, enter the number.
- Check "None" if the information collection in this request has not previously received an OMB control number. Enter the four digit agency code for your agency.

3. Type of information collection (check one)

- Check "New collection" when the collection has not previously been used or sponsored by the agency.
- Check "Revision" when the collection is currently approved by OMB, and the agency request includes a material change to the collection instrument, instructions, its frequency of collection, or the use to which the information is to be put.
- Check "Extension" when the collection is currently approved by OMB, and the agency wishes only to extend the approval past the current expiration date without making any material change in the collection instrument, instructions, frequency of collection, or the use to which the information is to be put.
- Check "Reinstatement without change" when the collection previously had OMB approval, but the approval has expired or was withdrawn before this submission was made, and there is no change to the collection.
- Check "Reinstatement with change" when the collection previously had OMB approval, but the approval has expired or was withdrawn before this submission was made, and there is change to the collection.
- Check "Existing collection in use without OMB control number" when the collection is currently in use but does not have a currently valid OMB control number.

4. Type of review requested (check one)

- Check "Regular" when the collection is submitted under 5 CFR 1320.10, 1320.11, or 1320.12 with a standard 60 day review schedule.
- Check "Emergency" when the agency is submitting the request under 5 CFR 1320.13 for emergency processing and provides the required supporting material. Provide the date by which the agency requests approval.
- Check "Delegated" when the agency is submitting the collection under the conditions OMB has granted the agency delegated authority.

5. Small entities

Indicate whether this information collection will have a significant impact on a substantial number of small entities. A small entity may be (1) a small business which is deemed to be one that is independently owned and operated and that is not dominant in its field of operation; (2) a small organization that is any not-for-profit enterprise that is independently owned and operated and is not dominant in its field; or (3) a small government jurisdiction which is a government of a city, county, town, township, school district, or special district with a population of less than 50,000.

6. Requested expiration date

- Check "Three years" if the agency requests a three year approval for the collection.
- Check "Other" if the agency requests approval for less than three years. Specify the month and year of the requested expiration date.

7. Title

Provide the official title of the information collection. If an official title does not exist, provide a description which will distinguish this collection from others.

8. Agency form number(s) (if applicable)

Provide any form number the agency has assigned to this collection of information. Separate each form number with a comma.

9. Keywords

Select and list at least two keywords (descriptors) from the "Federal Register Thesaurus of Indexing Terms" that describe the subject area(s) of the information collection. Other terms may be used but should be listed after those selected from the thesaurus. Separate keywords with commas. Keywords should not exceed two lines of text.

10. Abstract

Provide a statement, limited to five lines of text, covering the agency's need for the information, uses to which it will be put, and a brief description of the respondents.

11. Affected public

Mark all categories that apply, denoting the primary public with a "P" and all others that apply with "X."

12. Obligation to respond

Mark all categories that apply, denoting the primary obligation with a "P" and all others that apply with "X."

- Mark "Voluntary" when the response is entirely discretionary and has no direct effect on any benefit or privilege for the respondent.
- Mark "Required to obtain or retain benefits" when the response is elective, but is required to obtain or retain a benefit.
- Mark "Mandatory" when the respondent must reply or face civil or criminal sanctions.

13. Annual reporting and recordkeeping hour burden

- Enter the number of respondents and/or recordkeepers. If a respondent is also a recordkeeper, report the respondent only once.
- Enter the number of responses provided annually. For recordkeeping as compared to reporting activity, the number of responses equals the number of recordkeepers.
 - Enter the estimated percentage of responses that will be submitted/collected electronically using magnetic media (i.e., diskette), electronic mail, or electronic data interchange. Facsimile is **not** considered an electronic submission.
- Enter the total annual recordkeeping and reporting hour burden.
- Enter the burden hours currently approved by OMB for this collection of information. Enter zero (0) for any new submission or for any collection whose OMB approval has expired.
- Enter the difference by subtracting line d from line c. Record a negative number (d larger than c) within parentheses.
- Explain the difference. The difference in line e must be accounted for in lines f.1. and f.2.
 - "Program change" is the result of deliberate Federal government action. All new collections and any subsequent revision of existing collections (e.g., the addition or deletion of questions) are recorded as program changes.
 - "Adjustment" is a change that is not the result of a deliberate Federal government action. Changes resulting from new estimates or action not controllable by the Federal government are recorded as adjustments.

14. Annual reporting and recordkeeping cost burden (in thousands of dollars)

The costs identified in this item must exclude the cost of hour burden identified in Item 13.

- Enter the total dollar amount of annualized cost for all respondents of any associated capital or start-up costs.
- Enter recurring annual dollar amount of cost for all respondents associated with operating or maintaining systems or purchasing services.
- Enter total (14.a. + 14.b.) annual reporting and recordkeeping cost burden.
- Enter any cost burden currently approved by OMB for this collection of information. Enter zero (0) if this is the first submission after October 1, 1995.
- Enter the difference by subtracting line d from line c. Record a negative number (d larger than c) within parenthesis.
- Explain the difference. The difference in line e must be accounted for in lines f.1. and f.2.
 - "Program change" is the result of deliberate Federal government action. All new collections and any subsequent revisions or changes resulting in cost changes are recorded as program changes.

f.2. "Adjustment" is a change that is not the result of a deliberate Federal government action. Changes resulting from new estimations or actions not controllable by the Federal government are recorded as adjustments.

15. Purpose of information collection

Mark all categories that apply, denoting the primary purpose with a "P" and all others that apply with "X."

a. Mark "Application for benefits" when the purpose is to participate in, receive, or qualify for a grant, financial assistance, etc., from a Federal agency or program.

b. Mark "Program evaluation" when the purpose is a formal assessment, through objective measures and systematic analysis, of the manner and extent to which Federal programs achieve their objectives or produce other significant effects.

c. Mark "General purpose statistics" when the data is collected chiefly for use by the public or for general government use without primary reference to the policy or program operations of the agency collecting the data.

d. Mark "Audit" when the purpose is to verify the accuracy of accounts and records.

e. Mark "Program planning or management" when the purpose relates to progress reporting, financial reporting and grants management, procurement and quality control, or other administrative information that does not fit into any other category.

f. Mark "Research" when the purpose is to further the course of research, rather than for a specific program purpose.

g. Mark "Regulatory or compliance" when the purpose is to measure compliance with laws or regulations.

16. Frequency of recordkeeping or reporting

Check "Recordkeeping" if the collection of information explicitly includes a recordkeeping requirement.

Check "Third party disclosure" if a collection of information includes third-party disclosure requirements as defined by 1320.3(c).

Check "Reporting" for information collections that involve reporting and check the frequency of reporting that is requested or required of a respondent. If the reporting is on "an event" basis, check "On occasion."

17. Statistical methods

Check "Yes" if the information collection uses statistical methods such as sampling or imputation. Generally, check "No" for applications and audits (unless a random auditing scheme is used). Check "Yes" for statistical collections, most research collections, and program evaluations using scientific methods. For other types of data collection, the use of sampling, imputation, or other statistical estimation techniques should dictate the response for this item. Ensure that supporting documentation is provided in accordance with Section B of the Supporting Statement.

18. Agency contact

Provide the name and telephone number of the agency person best able to answer questions regarding the content of this submission.

19. Certification for Paperwork Reduction Act Submissions

The Senior Official or designee signing this statement certifies that the collection of information encompassed by the request complies with 5 CFR 1320.9. Provisions of this certification that the agency cannot comply with should be identified here and fully explained in item 18 of the attached Supporting Statement. NOTE: The Office that "develops" and "uses" the information to be collected is the office that "conducts or sponsors" the collection of information. (See 5 CFR 1320.3(d)).

Certification Requirement for Paperwork Reduction Act Submissions

5 CFR 1320.9 reads "As part of the agency submission to OMB of a proposed collection of information, the agency (through the head of the agency, the Senior Official, or their designee) shall certify (and provide a record supporting such certification) that the proposed collection of information--

"(a) is necessary for the proper performance of the functions of the agency, including that the information to be collected will have practical utility;

"(b) is not unnecessarily duplicative of information otherwise reasonably accessible to the agency;

"(c) reduces to the extent practicable and appropriate the burden on persons who shall provide information to or for the agency, including with respect to small entities, as defined in the Regulatory Flexibility Act (5 U.S.C. § 601(6)), the use of such techniques as:

"(1) establishing differing compliance or reporting requirements or timetables that take into account the resources available to those who are to respond;

"(2) the clarification, consolidation, or simplification of compliance and reporting requirements; or collections of information, or any part thereof;

"(3) an exemption from coverage of the collection of information, or any part thereof;

"(d) is written using plain, coherent, and unambiguous terminology and is understandable to those who are to respond;

"(e) is to be implemented in ways consistent and compatible, to the maximum extent practicable, with the existing reporting and recordkeeping practices of those who are to respond;

"(f) indicates for each recordkeeping requirement the length of time persons are required to maintain the records specified;

"(g) informs potential respondents of the information called for under §1320.8(b)(3); [see below]

"(h) has been developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected, including the processing of the information in a manner which shall enhance, where appropriate, the utility of the information to agencies and the public;

"(i) uses effective and efficient statistical survey methodology appropriate to the purpose for which the information is to be collected; and

"(j) to the maximum extent practicable, uses appropriate information technology to reduce burden and improve data quality, agency efficiency and responsiveness to the public."

NOTE: 5 CFR 1320.8(b)(3) requires that each collection of information:

"(3) informs and provides reasonable notice to the potential persons to whom the collection of information is addressed of:

"(i) the reasons the information is planned to be and/or has been collected;

"(ii) the way such information is planned to be and/or has been used to further the proper performance of the functions of the agency;

"(iii) an estimate, to the extent practicable, of the average burden of the collection (together with a request that the public direct to the agency any comments concerning the accuracy of this burden estimate and any suggestions for reducing this burden);

"(iv) whether responses to the collection of information are voluntary, require to obtain or retain a benefit (citing authority) or mandatory (citing authority);

"(v) the nature and extent of confidentiality to be provided, if any (citing authority); and

"(vi) the fact that an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number."

Supporting Statement for Paperwork Reduction Act Submissions

General Instructions

A Supporting Statement, including the text of the notice to the public required by 5 CFR 1320.5(a)(i)(iv) and its actual or estimated date of publication in the Federal Register, must accompany each request for approval of a collection of information. The Supporting Statement must be prepared in the format described below, and must contain the information specified in Section A below. If an item is not applicable, provide a brief explanation. When Item 17 of the OMB Form 83-I is checked "Yes", Section B of the Supporting Statement must be completed. OMB reserves the right to require the submission of additional information with respect to any request for approval.

Specific Instructions

A. Justification

1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.

2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.

4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.

5. If the collection of information impacts small businesses or other small entities (Item 5 of OMB Form 83-I), describe any methods used to minimize burden.

6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.

7. Explain any special circumstances that would cause an information collection to be conducted in a manner:

- * requiring respondents to report information to the agency more often than quarterly;
- * requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;
- * requiring respondents to submit more than an original and two copies of any document;

- * requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records, for more than three years;
- * in connection with a statistical survey, that is not designed to produce valid and reliable results that can be generalized to the universe of study;
- * requiring the use of a statistical data classification that has not been reviewed and approved by OMB;
- * that includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or
- * requiring respondents to submit proprietary trade secrets, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.

8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.

Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every 3 years - even if the collection of information activity is the same as in prior periods. There may be circumstances that may preclude consultation in a specific situation. These circumstances should be explained.

9. Explain any decision to provide any payment or gift to respondents, other than reenumeration of contractors or grantees.

10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information

is requested, and any steps to be taken to obtain their consent.

12. Provide estimates of the hour burden of the collection of information. The statement should:

- * Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. Generally, estimates should not include burden hours for customary and usual business practices.
- * If this request for approval covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens in Item 13 of OMB Form 83-I.

- * Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead, this cost should be included in Item 13.

13. Provide an estimate for the total annual cost burden to respondents or recordkeepers resulting from the collection of information. (Do not include the cost of any hour burden shown in Items 12 and 14).

- * The cost estimate should be split into two components: (a) a total capital and start-up cost component (annualized over its expected useful life) and (b) a total operation and maintenance and purchase of services component. The estimates should take into account costs associated with generating, maintaining, and disclosing or providing the information. Include descriptions of methods used to estimate major cost factors including system and technology acquisition, expected useful life of capital equipment, the discount rate(s), and the time period over which costs will be incurred. Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling and testing equipment; and record storage facilities.

- * If cost estimates are expected to vary widely, agencies should present ranges of cost burdens and explain the reasons for the variance. The cost of purchasing or contracting out information collections services should be a part of this cost burden estimate. In developing cost burden estimates, agencies may consult with a sample of respondents (fewer than 10), utilize the 60-day pre-OMB submission public comment process and use

existing economic or regulatory impact analysis associated with the rulemaking containing the information collection, as appropriate.

* Generally, estimates should not include purchases of equipment or services, or portions thereof, made: (1) prior to October 1, 1995, (2) to achieve regulatory compliance with requirements not associated with the information collection, (3) for reasons other than to provide information or keep records for the government, or (4) as part of customary and usual business or private practices.

14. Provide estimates of annualized costs to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information. Agencies may also aggregate cost estimates from Items 12, 13, and 14 in a single table.

15. Explain the reasons for any program changes or adjustments reported in Items 13 or 14 of the OMB Form 83-I.

16. For collections of information whose results will be published, outline plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.

18. Explain each exception to the certification statement identified in Item 19, "Certification for Paperwork Reduction Act Submissions," of OMB Form 83-I.

B. Collections of Information Employing Statistical Methods

The agency should be prepared to justify its decision not to use statistical methods in any case where such methods might reduce burden or improve accuracy of results. When Item 17 on the Form OMB 83-I is checked, "Yes," the following documentation should be included in the Supporting Statement to the extent that it applies to the methods proposed:

1. Describe (including a numerical estimate) the potential respondent universe and any sampling or other respondent selection methods to be used. Data on the number of entities (e.g., establishments, State and local government units, households, or persons) in the universe covered by the collection and in the corresponding sample are to be provided in tabular form for the universe as a whole and for each of the strata in the proposed sample. Indicate expected response rates for the collection as a whole. If the collection had been conducted previously, include the actual response rate achieved during the last collection.


2. Describe the procedures for the collection of information including:

- * Statistical methodology for stratification and sample selection,
- * Estimation procedure,
- * Degree of accuracy needed for the purpose described in the justification,
- * Unusual problems requiring specialized sampling procedures, and
- * Any use of periodic (less frequent than annual) data collection cycles to reduce burden.

3. Describe methods to maximize response rates and to deal with issues of non-response. The accuracy and reliability of information collected must be shown to be adequate for intended uses. For collections based on sampling, a special justification must be provided for any collection that will not yield "reliable" data that can be generalized to the universe studied.

4. Describe any tests of procedures or methods to be undertaken. Testing is encouraged as an effective means of refining collections of information to minimize burden and improve utility. Tests must be approved if they call for answers to identical questions from 10 or more respondents. A proposed test or set of test may be submitted for approval separately or in combination with the main collection of information.

5. Provide the name and telephone number of individuals consulted on statistical aspects of the design and the name of the agency unit, contractor(s), grantee(s), or other person(s) who will actually collect and/or analyze the information for the agency.

 Laiza N. Otero/EAC/GOV
10/17/2006 11:23 AM

To kmd@q2dataresearch.com@GSAEXTERNAL
cc
bcc
Subject Re: omb submission 

Hello,



Sample for Burden.doc



Sample ROCIS Emergency ICR highlights.pdf

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-3100 (main office)
Tel. (202) 566-2209 (direct)
Fax (202) 566-3128

009452

Sample

12. Annual Reporting Burden:

- a. Number of Respondents 8,397
- b. Number of Responses per Respondent 1
- c. Total Annual Responses 8,397
- d. Hours per Response 2
- e. Total Annual Reporting Burden 16,794
- f. Total Public Cost \$ 1,091,610

The projected hours per response for this collection of information were derived by dividing the process into three actions:

- Learning about the law and the form: 15 minutes
- Completion of the form: 20 minutes
- Assembling and filing the form: 85 minutes
- Total Hours 120 minutes (2 hours)

For the first two actions, tests were used to determine completion times. Persons who were not conversant with immigration processes were used to determine the average completion time. The third action of the form, assembling and filing the form, was broken down into subtasks. For example, an application for a reentry permit or refugee travel document is mailed directly to the USCIS Nebraska Service Center. Meanwhile, an application for advance parole is filed at the local USCIS office if the applicant is in the United States. If, however, the applicant seeking advance parole is outside the United States, he or she would mail the form to USCIS Headquarters in Washington, DC. Consequently, the time necessary to actually file the form can vary widely, depending on the circumstances of the applicant.

Annual Reporting Burden

The annual reporting burden is 16,794. This figure was derived by multiplying the number of respondents (8,397) x frequency of response (1) x (2) hours per response. This estimation is based on prior USCIS experience with the program.

Public Cost

The estimated annual public cost is \$ 1,091,610. This estimate is based on the number of respondents 8,397 x (2) hours per response x \$10 (average hourly rate) plus the number of respondents (8,397 x fee charge of \$190).

- 13. There are no capital or start-up costs associated with this information collection. Any cost burdens to respondents as a result of this information collection are identified in Item 14.
- 14. There is a \$190 fee charge associated with the collection of this information.

14. Annualized Cost Analysis:

- a. Printing Cost \$ 5,290
- b. Collection and Processing Cost \$ 918,380
- c. Total Cost to Program \$ 923,670
- d. Fee Charge \$ 923,670
- e. Total Cost to Government \$ 0

Government Cost

The estimated cost of the program to the Government is calculated by using the estimated number of respondents (8,397) multiplied (x) by the suggested \$110 fee charge (which includes the suggested average hourly rate for clerical, officer, and managerial time with benefits, plus a percent for the estimated overhead cost for printing, stocking, distributing and processing of this form).

- Save ICR successfully.

Edit ICR

Agency: 3265 EAC

OMB Control Number:

Agency ICR Tracking Number:

ICR Reference Number:
200610-3265-002

Previous ICR Reference Number:

Title:

U.S. Election Assistance Commission's Survey of Uniformed and Overseas Citizen Absentee Voting

Type of Information Collection (check one):

New collection (Request for a new OMB Control Number)

Type of Review Requested (check one):

Emergency

Request Approval Date:

10/26/2006



Emergency Justification:

Provide justification for Emergency Approval

Requested Expiration Date (check one):

Six months from approval date

Does this ICR contain surveys, censuses, or employ statistical methods? ☒ Yes ☐ No

(Attach Part B of Supporting Statement)

Does the Supporting Statement serve as a Joint ICR and Privacy Impact Assessment per OMB Memorandum 03-22, Section II.D.? ☐ Yes ☒ No

Agency Contact Otero, Laiza

Add New Contact

Abstract (4000 characters maximum):

Section 245 of the Help America Vote Act (HAVA) requires the United States Election Assistance Commission (EAC) to conduct a study of issues and challenges, specifically including the potential for election fraud, that are presented by the incorporation of communications and internet technologies in the Federal, State, and local electoral process.

009455

Authorizing Statute(s):

42 USC Pub.L. - Sec

Stat

Name of Statute:

EO

Name/
Subject of
EO:

US Code

15385

Name of
Law:

Help America Vote Act of 2002

Remove

Add another Authorizing Statute

Associated Rulemaking Information

RIN:

Stage of Rulemaking(check one):

Federal Register Citation:

Citation Date:

- ☐ Proposed Rule
- ☐ Interim Final or Final Rule
- ☒ Not associated with rulemaking

FR

For a Proposed Rule, OMB will not consider an ICR complete until the Notice of Proposed Rulemaking has been published.

For a Final Rule, please put the ICR reference number for the ICR reviewed at the proposed rule stage.

For ICRs associated with Interim Final rules that are not significant under EO, please upload a draft of the Federal Register notice as a Supplementary Document in Manage ICR Documents.

Federal Register Notices & Comments

60-day Notice:

Federal Register Citation:

Citation Date:

Did the Agency receive public comments on this ICR?

FR

☐ Yes ☒ No

30-day Notice:

Federal Register Citation:

Citation Date:

FR

Unless submitted as an Emergency or Associated with Rulemaking, OMB will not consider an ICR complete until the 30-day notice has been published.

Annual Cost to Federal Government: \$

Add/Edit Information Collections

ICR Summary of Burden:

	Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses	0	0	0	0	0	0
Annual Time Burden (Hr)	0	0	0	0	0	0
Annual Cost Burden (\$)	0	0	0	0	0	0

Citations for New Statutory Requirements: (Required if any change in burden is a Program Change Due to New Statute.)

USC Pub.L. - Sec Stat Name of Law: Name of Statute: EO Name/ Subject of EO:

Remove

Add Another Statutory Requirement

009456

☐ Burden increases because of Program Change due to Agency Discretion

☐ Burden decreases because of Program Change due to Agency Discretion

Add/Edit Supporting Statement and Other Documents

[Check Spelling](#) [IC List](#) [Agency Review](#) [Save](#) [Check For Completeness](#) [Submit](#) [Delete](#) [Cancel](#)

Login: lotero

[Inbox](#) [Request](#) [ICR Package](#) [History](#) [Search](#) [Report](#)[ICR Package->Current ICR Package->IC List](#)

OMB Control No.: ICR Ref No.: 200610-3265-002 ICR Expiration Date: ICR Status:
Agency/Sub-Agency: EAC Agency Tracking No.: Title: U.S. Election Assistance Commission's Survey of Uniformed and Overseas Citizen Absentee Voters
Request Status: Created Last Event: Created Last Event User: Otero, Last Event Date: 10/17/2006
Laiza

- You have saved the IC.

Add New IC

IC Title:

Survey of UOCAVA Voters

Agency IC Tracking
Number:Is this a Common Form? Yes : ☐ No : ☒

IC Status: New

Obligation to Respond: Voluntary

CFR Citation:

Title		Part	Operation
<input type="checkbox"/>	CFR		Remove
<input type="checkbox"/>	CFR		Remove
<input type="checkbox"/>	CFR		Remove
<input type="checkbox"/>	CFR		Remove
<input type="checkbox"/>	CFR		Remove
<input type="checkbox"/>	CFR		Remove
<input type="checkbox"/>	CFR		Remove
<input type="checkbox"/>	CFR		Remove
<input type="checkbox"/>	CFR		Remove
<input type="checkbox"/>	CFR		Remove

Add Another CFR Citation

Information Collection Instruments:

Remove	Instrument File	Form No.	Form Name	Document Type	URL	Available Electronically?	Can Be Submitted Electronically?	Electronic Capability
				Remove	Add Instrument			

Federal Enterprise Architecture Business Reference Module

Line of Business:

General Government

Subfunction:

Executive Functions

Privacy Act System of Records

Title:

FR Citation:

 FR

Number of Respondents:

10000

Number of Respondents for Small Entity:

0

Affected Public:

Individuals or Households

Percentage of Respondents Reporting Electronically: (%)

90

Annual IC Burden: (Select appropriate IC Burden Worksheet)

This ICR Requests Change in Net Burden

	Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses for this IC	0	0	0	0	0	0
Annual IC Time Burden (Hours)	0	0	0	0	0	0
Annual IC Cost Burden (Dollars)	0	0	0	0	0	0

Documents for IC

Remove	Title	Document	Date Uploaded	Uploaded By
--------	-------	----------	---------------	-------------

• • Add New IC

Remove	Upload Supplementary	Add Non-Electronic		
ICR Data	Save	Check IC Completeness	Delete	Cancel

Login: lotero

009460

Add/Edit IC Instrument

Instrument Filename: Supporting Documentation for OMB.doc

Browse...

Upload

Document Type:

Form and Instructi

Form Number:

EAC-R002

Form Name:

Survey of UOCAVA Voters

Form File Size: 49664 bytes

Is this collection available electronically? ☐ Yes ☒ No

If yes, can this collection be submitted electronically? ☐ Yes ☐ No

Electronic Capability:

URL:

Save

Close Window

009461

IC Burden Worksheet

Number of Respondents: 10000

Number of Responses per
Respondent:

per Time Period:

1

Year

Annual Frequency: 1

Annual Number of Responses: 10000

Type of Collection and Burden

Burden per Response:

	Time Per Response	Hours	Cost Per Response
Reporting	1.25 Hours	1.25	0.0000000000
Record Keeping	0	0	0.0000000000
Third Party Disclosure	0	0	0.0000000000
Total		1.25	0.0000000000

Frequency of Reporting:

- ☐ Biennially ☐ Daily
☐ Decade ☐ Hourly
☐ Monthly ☐ On occasion
☒ Once ☐ Quarterly
☐ Semi-annually ☐ Weekly
☐ Annually

Annual Burden:

	Annual Time Burden (Hours)	Annual Cost Burden (Dollars)
Reporting	12500.00	0
Record Keeping	0.00	0
Third Party Disclosure	0.00	0
Total	12500	0

Annual Responses and Burden with Changes:

	Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses for this IC	10000	0	10000	0	0	0
Annual IC Time Burden (Hour)	12500	0	12500	0	0	0
Annual IC Cost Burden (Dollars)	0	0	0	0	0	0

Save

Close Window

009463

OMB Control No.:	ICR Ref No.: 200610-3265-002	ICR Expiration Date:	ICR Status:
Agency/Sub-Agency: EAC	Agency Tracking No.:	Title: U.S. Election Assistance Commission's Survey of Uniformed and Overseas Citizen Absentee Voters	
Request Status: Created	Last Event: Created	Last Event User: Otero, Laiza	Last Event Date: 10/17/2006

Manage ICR Documents

Supporting Statement A

Remove	Document	Date Uploaded	Uploaded By
	<input type="text"/> 		
	Remove Upload Document		

Supporting Statement B

Remove	Document	Date Uploaded	Uploaded By
	<input type="text"/> 		
	Remove Upload Document		

Supplementary Documents


Remove	Title	Document	Document Type	Date Uploaded	Uploaded By
		Remove Upload Supplementary Add Non-Electronic			

Public Comments

Remove	Author Name	Comment Document	Author Affiliation	Sponsoring Org.	Type	Category	Date of Comment	Date Comment Received
		Remove Upload Public Comment						

Login: lotero

009464

 Laiza N. Otero/EAC/GOV
10/17/2006 11:30 AM

To kmd@q2dataresearch.com@GSAEXTERNAL
cc
bcc
Subject Re: omb submission 



Sample Notice Cert Program Emergency.doc

We just did this one for our testing and certification program.

Laiza N. Otero
Research Associate
U.S. Election Assistance Commission
1225 New York Avenue, Suite 1100
Washington, DC 20005
Tel. (202) 566-3100 (main office)
Tel. (202) 566-2209 (direct)
Fax (202) 566-3128

009465

U.S. ELECTION ASSISTANCE COMMISSION

Request For Substantive Comments on Procedural Manual For The Election Assistance Commission's Voting System Testing and Certification Program; Proposed Information Collection: Request for Comments on Information Collection Burden; U.S. EAC Voting System Testing and Certification Program.

AGENCY: United States Election Assistance Commission (EAC).

ACTION: NOTICE.

SUMMARY: The EAC has drafted a procedural manual for its Voting System Testing and Certification Program. This program sets administrative procedures for obtaining an EAC Certification for voting systems. Participation in the program is strictly voluntary. The program is mandated by 42 U.S.C. §15371. The purpose of this notice is twofold: (1) to request public comment on the substantive aspects of the program and (2) to request public comment on the proposed collection of information pursuant to the emergency processing provisions of the Paperwork Reduction Act as submitted to the Office of Management and Budget (OMB).

(1) SUBSTANTIVE COMMENTS: The EAC seeks substantive comments from the public on its proposed procedural manual. Please submit comments consistent with the information below. Comments should identify and cite the section of the manual at issue. Where a substantive issue is raised, please propose a recommended change or alternative policy. This publication and request for comment is not required under the rulemaking, adjudicative or licensing provisions of the Administrative Procedures Act (APA). It is a voluntary effort by the EAC to gather input from the public on the EAC's administrative procedures for certifying or decertifying voting systems. Furthermore, this request by the

009466

EAC for public comment is not intended to make any of the APA's rulemaking provisions applicable to development of this or future EAC procedural programs.

DATES (Comments): Submit written or electronic comments on this draft procedural manual on or before 5:00 p.m. EDT on October 31, 2006.

ADDRESSES: Submit comments on-line on EAC's website: <http://www.eac.gov>; via mail to Brian Hancock, Director of Voting System Certification, U.S. Election Assistance Commission, 1225 New York Avenue, Suite 1100, Washington, D.C. 20005; or via fax to 202-566-1392. An electronic copy of the proposed guidance may be found on the EAC's web site <http://www.eac.gov>.

FOR FURTHER INFORMATION CONTACT: Brian Hancock, Director of Voting System Certification, 1225 New York Avenue, Suite 1100, Washington, D.C., (202)566-3100, Fax: (202)566-1392.

(2) COMMENTS ON THE PROPOSED COLLECTION OF INFORMATION: In compliance with the requirement of section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the EAC is publishing the following summary of proposed collections for public comment. Interested persons are invited to send comments regarding this burden estimate or any other aspect of this collection of information, including any of the following subjects: (1) The necessity and utility of the proposed information collection for the proper performance of the agency's functions; (2) the accuracy of the estimated burden; (3) ways to enhance the quality, utility, and clarity of the information to be

collected; and (4) the use of automated collection techniques or other forms of information technology to minimize the information collection burden.

The EAC is requesting an emergency review of the information collection referenced below. In compliance with the requirement of section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, we have submitted to the Office of Management and Budget (OMB) the following requirements for emergency review. The EAC is requesting an emergency review because the collection of this information is needed before the expiration of the normal time limits under OMB's regulations at 5 CFR Part 1320(a)(2)(ii). The information collection at issue is necessary in order to provide for the certification of voting systems as mandated by the Help America Vote Act of 2002 (42 U.S.C. §15371). The EAC cannot reasonably comply with the normal clearance procedures because failure to implement this program in an expedited fashion is reasonably likely to result in a public harm, as stated in 5 CFR 1320.13(a)(2)(i).

Approval of this emergency collection is essential in order to comply with Help America Vote Act of 2002 (42 U.S.C. §15371). HAVA requires that the EAC certify and decertify voting systems. Section 231(a)(1) of HAVA specifically requires the EAC to "... provide for the certification, de-certification and re-certification of voting system hardware and software...." This mandate represents the first time the Federal government will provide for the voluntary testing and certification of voting systems, nationwide. In response to this HAVA requirement, the EAC is developing the Voting System Testing and Certification Program. This program requires the submission and retention of information related to voting systems and voting system manufacturers.

Until recently, national voting system certification was conducted by a private membership organization, the National Association of State Election Directors (NASED). NASED certified voting systems for over a decade, using standards issued by the Federal government. The organization terminated its certification efforts on July 10, 2006.

While the EAC and NASED have worked together to provide for the certification of emergency modifications necessary to properly field voting systems for the 2006 General Election, there is presently no mechanism in place to test and certify new systems or to process modifications for the 2008 Federal elections. Given the fact that (1) it can take years to develop, test, certify, sell and field a new or modified voting system, and (2) a large volume of voting systems (new, existing and modified) are expected to be submitted to the EAC upon initiation of the new Certification Program, it is imperative that the EAC's Voting System Testing and Certification Program begin on the earliest possible date. The 2008 Federal elections are less than 2 years away. Ensuring that certified voting systems are available for the 2008 Election Cycle is essential to the public welfare.

1. Type of Information Collection Request: New collection;
2. Title of Information Collection: EAC Voting System Testing and Certification Program Manual;
3. Use: HAVA requires that the EAC certify and decertify voting systems (42 U.S.C. §15371). Section 231(a)(1) of HAVA specifically requires the EAC to "... provide for the certification, de-certification and re-certification of voting system hardware and software by accredited laboratories." The EAC will perform this mandated function through the use of its Voting System Testing

and Certification Program. Voting systems certified by the EAC will be used by citizens to cast votes in Federal Elections. Therefore, it is paramount that the program operates in a reliable and effective manner. In order to certify a voting system, it is necessary for the EAC to (1) require voting system manufacturers to submit information about their organization and the voting systems they submit for testing and certification; (2) require voting system manufacturers to retain voting system technical and test records; and (3) to provide a mechanism for election officials to report events which may effect a voting system's certification.

4. Form Numbers: EAC-001C, 002C and 003C.
5. Frequency: Voluntary Reporting – (1) *Manufacturer Registration Form*: one time when a manufacturer registers for the program, (2) *Voting System Certification Application Form*: as needed, when a manufacturer submits a voting system for testing and certification, and (3) *Field Anomaly Reporting Form*: as needed, when an election official voluntarily notifies the EAC of a witnessed voting system anomaly.
6. Affected Public: Business or other for-profit institutions and state and local election officials;
7. Number of Respondents: 94 annually;
8. Total Annual Responses: 99 annually;
9. Total Annual Hours: 119 hours, annually.

EAC is requesting OMB review and approval of this collection by

009470

November 30, 2006, with a 180-day approval period. Written comments and recommendations will be considered from the public if received by the individuals designated below by October 31, 2006.

To obtain copies of the supporting statement, the Voting System Testing and Certification Program Manual or EAC forms referenced above, access the EAC Web Site at www.eac.gov or mail your request, including your address, phone number, to Director of Voting System Certification, U.S. Election Assistance Commission, 1225 New York Avenue, Suite 1100, Washington, D.C. 20005; or fax the EAC Director of Voting System Certification at 202-566-1392.

Interested persons are invited to send comments regarding the burden or any other aspect of these collections of information requirements. However, as noted above, comments on these information collection and recordkeeping requirements must be mailed and/or faxed to the designees referenced below by October 31, 2006:

OMB Reviewer: Alexander T. Hunt

Office of Management and Budget, Room 10235

New Executive Office Building

Washington, DC 20503, (202) 395-7316.

009471



[REDACTED]

10/17/2006 12:19 PM

To lotero@eac.gov

cc

bcc

Subject cost document attached

hi laiza
survey cost burden document attached. hope it makes sense. let me know
if you want me to make changes.

karin



survey Burden.doc

009472

USEAC Survey of UOCAVA voters, Burden and Cost estimate

12. Annual Reporting Burden:

- a. Number of Respondents: approximately 10,000
- b. Number of Responses per Respondent: 1
- c. Total Annual Responses: 10,000
- d. Hours per Response: 0.25
- e. Total Annual Reporting Burden: 2,500
- f. Total Public Cost: 0

The projected hours per response for this collection of information were derived by dividing the process into two actions:

Reading the introduction: 2 minutes
Completion of the survey: 13 minutes
Total hours: 15 minutes

For both actions, tests were used to determine completion times. UOCAVA voters were used to determine the average completion time. The time to actually complete the survey will vary somewhat, depending on the experiences and circumstances of the respondent.

Annual Reporting Burden

The annual reporting burden is 2,500. This figure was derived by multiplying the approximate number of respondents (10,000) x frequency of response (1) x (0.25) hours per response. This estimation is based on the tests that were conducted with UOCAVA voters.

Public Cost

The estimated annual public cost is \$ 0. This is a voluntary on-line survey and respondents will not be compensated for their time.

13. There are no capital or start-up costs associated with this information collection.

14. There are no fees or charges associated with this collection

14. Annualized Cost Analysis: 0

Government Cost

There are no costs to the government.



kmd@q2dataresearch.com
10/17/2006 03:36 PM

To lotero@eac.gov

cc

bcc

Subject justification attached

History: This message has been replied to

hello laiza
i am attaching a document with the justification for emergency processing. i worked off the notice you sent (thank you SO MUCH!!! it made it so much easier!!!!!!)
so i hope that this will double up for the section in the federal register as well. please take a look at it and use the sections you believe to be most appropriate. i assume that the first paragraph is more appropriate for the federal register than for omb review...
please let me know what you need next. i will try to reach you by phone in a while to touch base!

thanks!

karin



reasons for emergency review.doc

009474

The EAC is requesting an emergency review of the information collection referenced below. In compliance with the requirement of section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, we have submitted to the Office of Management and Budget (OMB) the following requirements for emergency review. The EAC is requesting an emergency review because the collection of this information is needed before the expiration of the normal time limits under OMB's regulations at 5 CFR Part 1320(a)(2)(ii). The information collection at issue is necessary in order to conduct a study of issues and challenges, specifically including the potential for election fraud, that are presented by the incorporation of communications and internet technologies in the Federal, State, and local electoral process, as mandated by the Help America Vote Act of 2002 (42 U.S.C. §15371). The EAC cannot reasonably comply with the normal clearance procedures because failure to implement this study in an expedited fashion is reasonably likely to prevent or disrupt the collection; as stated in 5 CFR 1320.13(a)(2)(i).

Approval of this emergency collection is essential in order to comply with Help America Vote Act of 2002 (42 U.S.C. §15371). Section 245 of the Help America Vote Act (HAVA) requires the United States Election Assistance Commission (EAC) to conduct a study of issues and challenges, specifically including the potential for election fraud, that are presented by the incorporation of communications and internet technologies in the Federal, State, and local electoral process. In delineating nine possible research topics, Congress specifically identified the need for further research in determining the requirements for authorization, collection, storing, and processing electronically generated messages permitting eligible voters to apply for an absentee ballot.

In response to this HAVA requirement, the EAC is developing a Survey of voters that are protected by the Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA). UOCAVA voters are most likely to utilize communications and internet technologies in the Federal, State and local electoral process. The upcoming General Election of 2006 is the only Federal Election that will be conducted until 2008. This is the only election that will include Federal, State and local candidates and issues on one ballot until 2008, thus this election presents the only opportunity to collect the necessary data to comply with the HAVA requirement within the mandated time frame.

Statistical analyses on respondent behavior to voluntary surveys have shown that the interest level of potential respondents, and thus the response rate, drops dramatically as time passes after the event in question, which in this case, is the respondents' interaction and experience with the electoral system during the General Election of 2006. Furthermore, UOCAVA voters are a highly mobile group of voters. Their contact information will be gathered from local election officials, based on what the voter supplied as their contact preference for this election. As time passes from the date of the election, we will lose more potential respondents because we will not have current contact information. Members of the military, for example, are often reassigned on short notice and their contact information for election purposes will not have to be updated until the next election in 2008. Both reasons will dramatically disrupt, or in fact prevent, the collection of a sufficient number of responses to constitute a large enough sample to provide statistically significant data that are representative of the population being studied. The quality of the data collected from respondents will also suffer if the

collection is not undertaken close to election time. It is a well documented fact that respondents tend to forget or don't adequately recollect processes as time passes. The validity of the data will greatly suffer if the collection commences much after election day.



kmd@q2dataresearch.com
10/17/2006 07:18 PM

To klynndyson@eac.gov
cc lotero@eac.gov
bcc
Subject draft survey attached

hello karen

attached, please find a draft of our survey in pdf format. when you open the document, you will find an introductory letter to voters, and below it instructions on how to navigate the paper version of the survey. those are for you and will not be included for voters. there is also a link to the online version of the survey.

please let us know if you have questions about the document. we look forward to your comments.

thank you.

regards,

karin mac donald

009477



kmd@q2dataresearch.com

10/17/2006 07:22 PM

To klynndyson@eac.gov

cc lotero@eac.gov

bcc

Subject sorry: now the survey really IS attached!



Draft 1.0 UOCAVA Voter Survey for EAC Review.pdf

009478

Review Draft 1.0

2006 EAC – UOCAVA Voter Survey

Dear Voter,

Your local election official is collaborating with the United States Election Assistance Commission (USEAC) on this survey of overseas and uniformed services absentee (UOCAVA) voters. You are invited to participate in this voluntary survey, which will take an estimated maximum of 8 - 10 minutes to complete, and we greatly appreciate your response.

The objective of the survey is to better understand the usability aspects of Internet and electronic transmission methods for voting materials in use by election jurisdictions today. The responses will be summarized and result in a report and recommendations to Congress, election administrators, and the general public.

This survey is an opportunity to tell us about your voting experience in November 2006. Your participation is essential to help us to learn about the unique challenges that UOCAVA voters face in the electoral process, and to develop suggestions for improvement.

Your response is confidential and can not be attributed to you, and no data that can identify you as the respondent will be maintained. This survey project is funded by the USEAC, and administered by the Overseas Vote Foundation.

Thank you for taking the time to participate in this important research project!

Sincerely

(If you are not an overseas civilian or uniformed services voter, spouse or dependent, please excuse this message, as you have received this survey in error.)

Please note with the hard copy survey that the page changes are listed at the *end* of the pages - and they are not aligned with the pages of the paper document. Unfortunately, the online program is not well-designed for hardcopy or survey document extraction. It will be best to test the survey online.

To take the survey online, use this URL:

<http://www.zoomerang.com/survey.zgi?p=WEB225RPY7TAGA>

009479

The paper version of the survey can be studied more easily, and for that, the following explanations may be of assistance:

1. The survey has two primary lines with an extra page of questions for voters who used a form of ETS in their ballot transmission/s.
 - Main Line (with Extra Page: ETS voters)
 - Did Not Vote Line
2. All survey takers get the first question #1 and then the last 2 pages of questions.
3. The "Did Not Vote" line starts of Page 2 of the Survey and continues through to the end of Page 4, then jumps to Page 10 to the end.
4. The "Yes - I Voted" line is called the "Main Line", and it starts on Page 5 and continues through to the the end.
5. The Main Line bifurcates at one point where the ETS voters must go through a page of additional questions and then the re-unite with the Main Line of voters.

All sections are labeled where the **Survey Pages** change.

Review Draft 2006 EAC UOCAVA Voter Survey

ALL SURVEY TAKERS ANSWER THIS QUESTION

"Yes" answers take the Main Line of questions

"No" answers take the Did Not Vote Line of questions

1

Did you vote as an overseas citizen or active-duty military absentee voter in 2006?

☐ Yes

☐ No

☐ I tried but was unable to complete the process

End of Survey Page 1

009481

"Did Not Vote" line of questions

DID NOT VOTE LINE

2

Why didn't you vote? (check all that apply)

- ☐ My ballot did not arrive
- ☐ My ballot was late
- ☐ Forgot to send my ballot
- ☐ Registration/ballot request FPCA form was denied
- ☐ Missed the registration deadline
- ☐ Intended to vote but found out too late I wasn't registered
- ☐ My address changed
- ☐ Couldn't fulfill the notarization requirements
- ☐ Witness requirements unclear
- ☐ Didn't think my vote would matter
- ☐ The process was too complicated
- ☐ Had no candidate preference
- ☐ No interest
- ☐ Other, please specify